

INVESTOR QUESTIONNAIRE: ANNEXURE A

Cooperation between the Federal Court of Australia and the High Court of New Zealand

Halifax Investment Services Pty Limited (In Liquidation) (Halifax AU)

Halifax New Zealand Limited (In Liquidation) (Halifax NZ) (together the Halifax Group)

Any investor who **objects to cooperation between the NZ Court and the Australian Court** should notify the Liquidators by completing this form and returning it to the Liquidators by **4pm NZDT / 2pm AEDT on 6 December 2019** by sending it to the Liquidators by email to:

AU-FMhalifaxcourt@kpmg.com.au.

Investors who wish to object to cooperation between the NZ Court and the Australian Court may do so by seeking to be heard at a hearing of the NZ Court or of the Australian Court, or in writing. Any investor who wishes to be heard should indicate their intention in response to the questions in section 1. below. Any investor who wishes to summarise their objection in writing may do so by completing section 2. below. Those investors should also complete section 1. below.

The Liquidators will notify each investor who indicates in section 1. below that they wish to be heard in opposition to cooperation between the Courts of the time, date and place of the relevant hearing or hearings at which they may seek to be heard.

The Liquidators will draw any written objection to cooperation set out in section 2. below to the attention of the relevant Court at the relevant hearing.

INVESTORS ARE NOT OBLIGED TO COMPLETE THIS FORM, AND IT IS NOT NECESSARY THAT THEY APPEAR BEFORE A COURT. The Liquidators are not providing any advice about whether investors should do either of those things.

1. Investor details

a) Name: _____

b) Contact details

- Phone:
- Email:
- Postal address:

c) Account number: _____

d) Platform: _____

e) Do you intend to seek to be heard at a hearing or hearings in opposition to cooperation between the Courts?
