

## INVESTOR QUESTIONNAIRE - ANNEXURE C

### Closing out of investor positions

#### Halifax Investment Services Pty Limited (In Liquidation) (Halifax AU)

#### Halifax New Zealand Limited (In Liquidation) (Halifax NZ) (together the Halifax Group)

Any investor who wishes to seek to be heard on the closing out of investor positions as explained in Part 4 of the Notice should notify the Liquidators by completing this form and returning it to the Liquidators by **4pm NZDT / 2pm AEDT on 6 December 2019** by sending it to the Liquidators by email to:

[AU-FMhalifaxcourt@kpmg.com.au](mailto:AU-FMhalifaxcourt@kpmg.com.au).

Investors who wish to be heard on the issue of closing out investor positions may do so by seeking to be heard at a hearing of the NZ Court or the Australian Court, or in writing. Any investor who wishes to be heard should indicate their intention in response to the questions in section 1. below. Any investor who wishes to summarise their objection in writing may do so by completing section 2. below. Those investors should also complete section 1. below.

The Liquidators will request that the issue of closing out of investor positions will be determined at an early joint or coordinated sitting of the NZ Court and the Australian Court if the Courts agreed to take a joint or coordinated approach. All investors will be notified of the date of the relevant hearing.

The Liquidators will draw any submissions about closing out of investor positions, which are included by investors in this form, to the attention of the relevant Court at the relevant hearing, whether or not the investor seeks to be heard at the hearing.

**INVESTORS ARE NOT OBLIGED TO COMPLETE THIS FORM, NOR IS IT NECESSARY THAT THEY APPEAR BEFORE THE COURT.** The Liquidators are not providing any advice about whether investors should do either of those things.

#### 1. Investor details

a) Name: \_\_\_\_\_

b) Contact details

- Phone:
- Email:
- Postal address:

c) Account number: \_\_\_\_\_

d) Platform: \_\_\_\_\_

