




Integrity Due Diligence

Helping reduce third party risk and bringing about transparency in your value chain



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01 Pre-Transactional Integrity Due Diligence

Benefit

Pursuing a merger, acquisition or a joint venture is a high-stakes endeavour. Pre-transactional due diligence by KPMG Forensics can help identify when the reputation of the target company, or one of the third parties they work with, is not aligned with the interests or risk appetite of your shareholders, customers, employees and brand. Knowing about any potential risks in advance can help you mitigate any financial impact.

How KPMG Forensics can help

- We can undertake open source research on companies and individuals anywhere in the world to help you objectively determine whether the potential transaction has a reputational, jurisdictional or financial crime risk not identified in standard financial due diligence. This can include undertaking research to identify fraud, bribery, corruption and sanctions risks.
- We also help our clients identify third party risk exposure at acquisition to enable you to efficiently and effectively onboard a large number of new third parties. We can help you risk assess these new third parties by conducting initial screening and, for higher risk third parties, undertake enhanced due diligence.

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02



Executive/Director Due Diligence

Benefit

Executives/directors are the faces of companies and their activities reflect on the entire business. Wrong hiring decisions can cause irrevocable damage to a company's reputation and brand. Executive/director due diligence helps bring hidden issues to light before hiring.

How KPMG Forensics can help

- We can use specialist technology solutions to identify risks associated with sanctions and politically exposed persons.
- We can interrogate millions of data sources, including social media, to supplement more traditional searches.
- We can provide ongoing monitoring of open source information to identify any changes or new red flags that may indicate an increased risk.

03



Supplier Due Diligence

Benefit

Third party suppliers such as sales agents and consultants are regularly used to conceal financial crimes. Supplier due diligence can help you understand more about who you are doing business with. Such transparency helps you mitigate risks in your supply chain in order to avoid the disruption and costs associated with fraud, modern slavery, bribery and corruption and other reputational and financial crime risks.

How KPMG Forensics can help

- Using specialist tools, we can help risk assess third party suppliers and sales agents anywhere in the world by undertaking initial screening and, for those identified as higher risk, enhanced due diligence. This information can be used to inform the control framework for higher risk third parties.
- We can investigate the background, track record and connections of key suppliers, sales agents, consultants and distributors through targeted open source research.
- We can perform compliance supplier audits to assess control maturity across financial crime risks such as fraud, bribery and corruption. Such reviews can also test adherence to service level requirements and quantify any financial penalty associated with failure to meet the requirements.

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Customer Due Diligence

Benefit

Ineffective customer due diligence can lead to a failure to identify and mitigate financial crime risks such as money laundering, terrorist financing and other illicit activities. Customer due diligence can help to ensure compliance with laws and regulations.

How KPMG Forensics can help

- We can support customer onboarding by conducting a detailed global analysis of an extensive range of open source information on a chosen customer using our specialist due diligence tools. Our research covers financial crime risks such as money laundering, sanctions breaches, bribery and corruption. Using KPMG technology, we can provide ongoing monitoring of customer activities to identify any changes or new red flags that may indicate an increased risk.
- We can perform enhanced due diligence to verify the identity of your customers and any associated risks with the relationship.



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Document Classification: KPMG Public