



cutting through complexity

KPMG FORENSIC

Astrus

Enhanced Due Diligence

A web-enabled portal to
corporate intelligence

kpmg.co.uk



What's on your mind?



Hidden costs

I use providers with database-driven offerings. These 'solutions' provide only raw, unprocessed content which lacks depth and analysis. I have to dedicate my own resources to process their output and review possible false positive matches.

Restricted data sources

I am concerned that companies offering enhanced due diligence services are restricted to a narrow range of data sources. This exposes me to risk that relevant information may not be identified.

Too many, too small

I use enhanced due diligence providers that lack the size and capacity to fully address all of my organisation's needs. I bear the inconvenience, the risk and the costs associated with managing multiple small and medium-sized providers.

Lack of context and understanding

I deal with companies that are little more than raw data providers. They lack an understanding of what really matters from a regulatory perspective and what regulators expect. They are not able to offer associated services that are part of an integrated approach to regulatory risk.

Astrus – Enhanced Due Diligence

Astrus is a cost-effective, proactive due diligence solution that helps you obtain information and assess risks associated with customers, agents and counterparties.

Astrus was developed as a response to global regulatory drivers including the UK Bribery Act, the US Foreign Corrupt Practices Act, the USA PATRIOT Act, global Anti Money Laundering and Counter-Terrorist Financing legislation and international financial sanctions regimes. Collectively, these regulations create an immediate and urgent need for both financial and non-financial corporations to examine their business relationships in order to assess risk and demonstrate compliance. Failure adequately to investigate agents, business partners and clients and to understand how they operate can expose organisations to reputational damage, operational risk, government investigations, financial penalties and potential criminal liability.

True value-for-money

Astrus reports are prepared on-demand. Instead of overwhelming you with raw data they offer concise, fully sourced, digestible summaries highlighting key issues so that you can focus on the issues that warrant the most attention.

Unconstrained data sources

KPMG is data source-independent. We use the substantial collective experience of our global Corporate Intelligence teams to source the best information available from an extensive range of over 30,000 data sources.

Scale and consistency in a bespoke service

KPMG offers a truly scalable service. We have prepared thousands of Astrus reports on subjects in more than 110 countries. Our risk grading approach is tailored to your organisation's risk appetite and is consistently applied across your portfolio.

Insight. Not box-ticking

When do discrepancies or contradictions matter? When should the absence of information itself be a source of concern? Astrus analysts are trained in KPMG's global Corporate Intelligence methodology. KPMG also offers full-scope integrity due diligence investigations and on-the-ground Forensic investigations as required.



Secure portal

Single point of entry and delivery via the Astrus website, making it easy to order, track and review completed reports.

Astrus

Welcome to Astrus, KPMG's on-line customer and counterparty integrity due diligence portal. Use the navigation links below to file requests, track progress and view completed reports.

Summary of requests completed

New individual request

New legal entity request

List of requests completed

List of requests pending

List of cancelled requests

Reports due for refresh



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Reports

Welcome to Astrus

Astrus has been developed by KPMG to assist you to quickly identify integrity and reputation matters relevant to your customer and counterparty acceptance and review process. You can use Astrus to:

- Help manage integrity risks arising from business with customers, such as money laundering or proceeds of crime
- Proactively manage integrity risks arising from businesses you conduct via third parties, such as agents or brokers, including corruption risks
- Identify Politically Exposed Persons (PEPs) or state-owned entities you may be dealing with
- Investigate the track record and probity of an organization or individual to assess their suitability as a supplier or business partner

KPMG offers a range of different report levels, depending on the risk profile of the subject you are dealing with:

Astrus report

Astrus provides access to fast-turnaround, fixed-price, standard scope integrity due diligence reports. These reports are suitable in situations where an overview of a wide range of on-line public data sources is required, in

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Astrus dashboard

User-friendly interface provides quick summary of pending and completed reports, with a clear overview of potential risks identified.

Risk indicator	PEPs	Sanctions
	No	No
	Yes	No
	No	No
	No	No
	No	No

KPMG moving through complexity™										
Astrus Suite										
Home / Astrus / View Performance										
List of requests completed										
Client: <input type="text" value="All"/>										
+ Show/Hide Filters										
System reference	Your reference	Subject name	Subject type	Target date	Completed date	Risk indicator	PEPs	Sanctions	Repeat Request Frequency (months)	Report
SHC0000014	BONH	GEM Onshore Feedstock	Legal entity	12-Sep-2011	08-Sep-2011		Yes	Yes	N/A	
SHC0000013	RAF	Reyes Abnatores Asia Fund	Legal entity	12-Sep-2011	08-Sep-2011		Yes	No	N/A	
SHC0000012	POHL	Mechan, Winston	Individual	12-Sep-2011	08-Sep-2011		No	No	N/A	
SHC0000009	OrisQ	Derry Airbridge	Legal entity	12-Sep-2011	08-Sep-2011		No	No	N/A	
SHC0000007	JR	Rozewski, Adam	Individual	12-Sep-2011	08-Sep-2011		No	No	N/A	
SHC0000006	OM	China National International Group	Legal entity	12-Sep-2011	08-Sep-2011		Yes	No	N/A	

Configurable indicators based on your organisation's risk assessment profile – a consistent measurement across your portfolio of counterparties.

Astrus report

Concise summary of key findings with risk indicators.

Transcom Sh.a		Background details	
Summary of findings		Source	
Key findings		Date	
<p>Transcom Sh.a ("Transcom") was registered in Albania in 1992. It is one of Albania's major food and media operators. The ultimate beneficial owner of the company is Ismail Ahmet ("Ismail"), who holds a majority stake in Transcom via his holding company, Hyran Holding A.S. The Albanian government has a 20 per cent stake in Transcom. Ahmet is a controversial and politically-connected Turkish businessman. He is a former deputy minister of trade in Turkey and was a close associate of the former Turkish president, Sigmund Rasmussen. He has business interests in textiles, energy, telecoms, construction, banking and media. He is ultimately beneficial owner in Ismail Ahmet, a controversial and politically-connected Turkish businessman.</p> <p>In 2008, the provision was acquired by the company, which closed a book of transparency and competition in the tender process. The provision was approved in 2007. The provision has subsequently been the subject of allegations of corruption in internet blogs and news reports. Ismail Ahmet was a director of Transcom until September 2008. He is a deputy finance minister in the Albanian government.</p> <p>Overall risk indicator: MDR</p>		<p>Transcom Sh.a</p> <p>70</p> <p>Source: Qendra Kombëtare e Regjistrimit</p> <p>15-Apr-10</p> <p>Consolidated Financial Statements - Hyran Holding A.S.</p> <p>30-Apr-09</p> <p>Global Insight</p> <p>20-Dec-07</p> <p>Associated Press Newsires</p> <p>22-Jan-06</p> <p>The Economist</p> <p>10-Feb-06</p> <p>Qendra Kombëtare e Regjistrimit</p> <p>15-Apr-10</p>	
<p>Risk indicators - Transcom Sh.a</p> <p>Background details</p> <p>Transcom's basic registration details have been confirmed through research of the Albanian corporate registry and credit reports. No discrepancies were identified.</p> <p>Shareholders</p> <p>The ultimate beneficial owner of Transcom is Ismail Ahmet, who holds a majority stake in Transcom via his holding company, Hyran Holding A.S. The Albanian government has a 20 per cent stake in Transcom. Ahmet is a controversial and politically-connected Turkish businessman. He is a former deputy minister of trade in Turkey and was a close associate of the former Turkish president, Sigmund Rasmussen. In 2008, he was accused by opposition politicians in Turkey of benefiting financially from his reportedly close relationship with the Turkish prime minister Recep Erdogan.</p> <p>Adverse press / media comment</p> <p>Transcom has a high public profile. In 2008, it was the subject of parliamentary investigations into its proposed privatisation. The investigation found that the company had received significant benefits due to favourable connection deals with local media operators, uncompetitive currency exchange deals with private banks, unqualified delays to tenders and the recent acquisition of tender bids. Approval was eventually granted in 2007.</p> <p>Litigation</p> <p>In 2008, Transcom sued Ahmet Engelli, a deputy minister in the former socialist government. Engelli and a former Transcom director were accused of causing a USD 2.2 million loss to the Albanian state.</p> <p>Sanctions and high-risk entities</p> <p>No reference to Transcom was identified in prior lists of sanctioned or other high-risk entities.</p> <p>Director information</p> <p>Transcom is 20 per cent owned by the Albanian government. Its directors are therefore publicly exposed persons. Ismail Ahmet, deputy finance minister in the Albanian government, was a director of Transcom until September 2008.</p>		<p>Source</p> <p>Date</p> <p>Qendra Kombëtare e Regjistrimit</p> <p>15-Apr-10</p> <p>Consolidated Financial Statements - Hyran Holding A.S.</p> <p>30-Apr-09</p> <p>Global Insight</p> <p>20-Dec-07</p> <p>Associated Press Newsires</p> <p>22-Jan-06</p> <p>The Economist</p> <p>10-Feb-06</p> <p>Qendra Kombëtare e Regjistrimit</p> <p>15-Apr-10</p>	

Information clearly sourced from a wide range of online data sources in multiple jurisdictions.

Adverse press and media		
<p>Ismail Ahmet is a controversial and politically-connected Turkish businessman. He is a former deputy minister for trade in Turkey and was a close associate of the former Turkish president, Sigmund Rasmussen. He has business interests in textiles, energy, telecoms, construction, banking and media. He has been the subject of adverse press comment. The key issues are summarized below.</p> <p>In 2007, according to the BBC, a Russia-based Turkish website reported that Ismail Ahmet had failed to maintain as close ties with the then president of Turkmenistan, Gurbanguly Berdimuhamedov, as he had had with the previous president, Saparmyrat Nyazov. It was speculated that Ismail Ahmet had been forced to leave Turkmenistan for this reason. However, according to the same source, this speculation had not been confirmed or refuted.</p> <p>In 2008, the Turkish opposition party, the Republican Peoples Party, accused the Illyrian group and Ismail Ahmet of abusing their political influence and connections. The party claimed that Illyrian was unfairly favoured by Turkey's prime minister, Recep Tayyip Erdogan, during his acquisition of Turkey's second-largest media conglomerate, Aegion ATV. Illyrian was alleged to have raised 75 per cent of the funding for the acquisition from two state-owned banks. The remaining 25 per cent was funded by a Qatari company to which Illyrian was reported to have been personally introduced by prime minister Erdogan.</p> <p>In 2008, Ismail Ahmet was accused by a deputy of the Republican Peoples Party of deceiving banks by misrepresenting the financial statements of a subsidiary media company, Tiranaz. The deputy accused Illyrian of making false statements and violating banking legislations, drawing credit lines without any purpose, misrepresentation, deceit, threats and misuse of public authority. No further information was identified in relation to these allegations.</p>	<p>Forbes</p> <p>Dow Jones & Company</p> <p>Russian website - Gundogar - Excerpt by BBC Worldwide Monitoring</p> <p>The Economist</p> <p>Turkish Daily News</p>	<p>10-Mar-10</p> <p>31-May-98</p> <p>18-Jan-07</p> <p>10-Apr-08</p> <p>20-Nov-08</p>

How we have helped others

A global financial institution with private wealth clients around the world required a review of several hundred customers to enhance customer files and assess risk.

KPMG's Forensic team provided accelerated delivery of reports to the client, who met stringent self-imposed deadlines to identify its highest-risk counterparties and implement appropriate monitoring and controls.

A global life sciences company uses Astrus to assess third party relationships around the world. Astrus reports help the client identify relevant issues and government relationships, and assess operational risk and potential litigation exposure. KPMG has maintained open communication with the client to discuss the findings of Astrus reports, which are used by the client to set risk levels and undertake appropriate scrutiny, training and periodic reviews.

To assess risk of potential inappropriate payments, an organisation with a global network of third-party agents undertook a review to identify agents associated with government or political figures. KPMG's Astrus reports identified potentially related parties, and reviewed those parties against global sanctions, debarment and Politically Exposed Persons lists. The reports enabled the client to search its payment systems for payments to related parties and to identify agents with government relationships.



About KPMG Forensic

KPMG Forensic is a global network comprised of multidisciplinary professionals from member firms of KPMG International that assist clients in achieving high levels of business integrity through the prevention, detection, and investigation of fraud and misconduct, and by avoiding and resolving disputes.

Our professionals not only help clients discover the facts underlying concerns about fraud and misconduct but they also assist clients in assessing and mitigating the vulnerabilities related to such activities. We also deliver a broad range of services to help prevent and resolve commercial disputes including the assessment of damages, resolution of accounting, audit, and finance-related issues, and expert witness services.

Using a wide-range of sophisticated technology tools, KPMG Forensic helps organisations address the risks and costs involved with evidence and discovery management, as well as the acquisition, management, and analysis of large data sets. Our professionals work alongside clients to handle information from its creation to its preservation, collection, analysis, and presentation in discovery. We also apply computer forensic and data analysis techniques to assist with detecting fraud and misconduct. To support these services, member firms of KPMG International operate secure data centers in the United

States, the United Kingdom, Canada, Australia, India, South Africa, Germany and Austria.

Professionals in KPMG's Forensic practice draw upon extensive experience in forensic accounting, law enforcement, fraud and misconduct control assessments, legal damage quantification and analysis, expert witness testimony, international arbitration, asset tracing, computer forensics, and forensic data analysis.

KPMG Forensic professionals are well positioned to provide consistent service to global clients. By sharing common global methodologies, KPMG International member firms can readily assemble multinational teams who possess a breadth and depth of technical skills and industry knowledge to address fraud, misconduct, and dispute concerns.

Service offerings are subject to legal and regulatory restrictions. Some services may not be available to KPMG's financial statement audit or other attest service clients.

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RR Donnelley I RRD-257176 I September 2011 I Printed on recycled material.