

**BBYL: CSA Investigations**

Population: Search results of transactions with generic descriptions ("TO" and "FROM")  
 Source: Run across BBYL bank transactions 1 Jan 2014 to 30 May 2015

Colour coding:

Covered in report findings - section 6

Covered as part of Saxo upload / termination fund flow diagram (section 6.9)

Account Name	Account No	Date	Category	Description	Currency	Value	Transaction value (AUD)	Report reference
<b>ABOVE OR EQUAL TO \$100,000 AUD</b>								
BBY Ltd Client Trust Account	553452541	2014-06-16	DEBIT(POST ON-LINE DETAILS PER NARRATIVE)	TO 553453106	AUD	-169,562,841.24	169,562,841.24	In Report (6.8.12)
BBL Ltd Equities Trust 2	553469415	2014-06-16	DEBIT(POST ON-LINE DETAILS PER NARRATIVE)	TO 553452541	AUD	-169,562,841.24	169,562,841.24	In Report (6.8.12)
BBL Ltd Equities Trust 2	553469415	2014-06-17	DEBIT(POST ON-LINE DETAILS PER NARRATIVE)	TO 553452541	AUD	-63,916,110.55	63,916,110.55	In Report (6.8.13)
BBY Ltd Client Trust Account	553452541	2014-06-17	DEBIT(POST ON-LINE DETAILS PER NARRATIVE)	TO 553453106	AUD	-60,000,000.00	60,000,000.00	In Report (6.8.13)
BBY Ltd Client Trust Account	553452541	2014-06-12	DEBIT(POST ON-LINE DETAILS PER NARRATIVE)	TO TRUST A/C1 553619153	AUD	-29,000,000.00	29,000,000.00	In Report (6.8.10)
BBY Ltd OD Excess Account	553774516	2014-06-16	DEBIT(POST ON-LINE DETAILS PER NARRATIVE)	TO 553453106	AUD	-26,000,000.00	26,000,000.00	In Report (6.8.12)
BBY Ltd - Facilitation Account	0000553453106	2014-06-16		TO 553774516	AUD	-26,000,000.00	26,000,000.00	In Report (6.8.12)
BBY Ltd - Facilitation Account	0000553453106	2014-06-17		TO 553774516	AUD	-26,000,000.00	26,000,000.00	In Report (6.8.13)
BBY Ltd OD Excess Account	553774516	2014-06-17	DEBIT(POST ON-LINE DETAILS PER NARRATIVE)	TO 553453106	AUD	-26,000,000.00	26,000,000.00	In Report (6.8.13)
BBY TRUST ACCOUNT 1	553619153	2014-06-12	DEBIT(POST ON-LINE DETAILS PER NARRATIVE)	TO BBY OVERDRAFT ACCT 553453106	AUD	-25,993,534.55	25,993,534.55	In Report (6.8.10)
BBY TRUST ACCOUNT 3	553619217	2014-06-13	DEBIT(POST ON-LINE DETAILS PER NARRATIVE)	TO 553453106	AUD	-5,500,000.00	5,500,000.00	In Report (6.8.11)
BBY TRUST ACCOUNT 1	553619153	2014-06-13	DEBIT(POST ON-LINE DETAILS PER NARRATIVE)	TO 553453106	AUD	-4,500,000.00	4,500,000.00	In Report (6.8.11)
BBY TRUST ACCOUNT 1	553619153	2014-06-13	DEBIT(POST ON-LINE DETAILS PER NARRATIVE)	TO 553453106	AUD	-3,223,412.28	3,223,412.28	In Report (6.8.11)
BBY TRUST ACCOUNT 3	553619217	2014-12-16	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL  TO 0000553980002	AUD	-1,505,668.00	1,505,668.00	In Report (6.6.3)
BBY LTD GENERAL TRUST ACCOUNT	553980002	2014-12-16	INTERNET DEPOSIT	INTERNET DEPOSIT  FROM 0000553619217	AUD	1,505,668.00		In Report (6.6.3)
BBY LTD GENERAL TRUST ACCOUNT	553980002	2014-12-12	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL  TO 0000553619356	AUD	-5,183,440.00	5,183,440.00	In Report (6.9.5) - Saxo upload / termination
BBY Ltd Saxo Buffer	553619356	2014-12-12	INTERNET DEPOSIT	INTERNET DEPOSIT  FROM 0000553980002	AUD	5,183,440.00		In Report (6.9.5) - Saxo upload / termination
BBY TRUST ACCOUNT 1	553619153	2014-12-11	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL  TO 0000553980002	AUD	-3,000,000.00	3,000,000.00	In Report (6.9.5) - Saxo upload / termination
BBY LTD GENERAL TRUST ACCOUNT	553980002	2014-12-11	INTERNET DEPOSIT	INTERNET DEPOSIT  FROM 0000553619153	AUD	3,000,000.00		In Report (6.9.5) - Saxo upload / termination
BBY LTD GENERAL TRUST ACCOUNT	553980002	2014-12-05	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL  TO 0000553619217	AUD	-2,361,044.47	2,361,044.47	In Report (6.9.5) - Saxo upload / termination
BBY TRUST ACCOUNT 3	553619217	2014-12-05	INTERNET DEPOSIT	INTERNET DEPOSIT  FROM 0000553980002	AUD	2,361,044.47		In Report (6.9.5) - Saxo upload / termination
GARF Private Superfund CMA	553574274	2014-12-05	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL  TO 0000553619217	AUD	-1,868,600.00	1,868,600.00	In Report (6.9.5) - Saxo upload / termination
BBY TRUST ACCOUNT 3	553619217	2014-12-05	INTERNET DEPOSIT	INTERNET DEPOSIT  FROM 0000553574274	AUD	1,868,600.00		In Report (6.9.5) - Saxo upload / termination
BBY LTD GENERAL TRUST ACCOUNT	553980002	2014-12-09	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL  TO 0000553619356	AUD	-1,525,100.00	1,525,100.00	In Report (6.9.5) - Saxo upload / termination
BBY Ltd Saxo Buffer	553619356	2014-12-09	INTERNET DEPOSIT	INTERNET DEPOSIT  FROM 0000553980002	AUD	1,525,100.00		In Report (6.9.5) - Saxo upload / termination
BBY Ltd FX Trust	553619268	2014-12-05	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL  TO 0000553619217	AUD	-1,000,000.00	1,000,000.00	In Report (6.9.5) - Saxo upload / termination
BBY TRUST ACCOUNT 3	553619217	2014-12-05	INTERNET DEPOSIT	INTERNET DEPOSIT  FROM 0000553619268	AUD	1,000,000.00		In Report (6.9.5) - Saxo upload / termination
BBY TRUST ACCOUNT 3	553619217	2014-12-05	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL  TO 0000553619356	AUD	-1,000,000.00	1,000,000.00	In Report (6.9.5) - Saxo upload / termination
BBY Ltd Saxo Buffer	553619356	2014-12-05	INTERNET DEPOSIT	INTERNET DEPOSIT  FROM 0000553619217	AUD	1,000,000.00		In Report (6.9.5) - Saxo upload / termination
BBY Limited - WLP Omnibus	553619137	2014-12-05	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL  TO 0000553619217	AUD	-499,600.00	499,600.00	In Report (6.9.5) - Saxo upload / termination
BBY TRUST ACCOUNT 3	553619217	2014-12-05	INTERNET DEPOSIT	INTERNET DEPOSIT  FROM 0000553619137	AUD	499,600.00		In Report (6.9.5) - Saxo upload / termination
BBY TRUST ACCOUNT 3	553619217	2014-12-18	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL  TO 0000553980002	AUD	-4,000,000.00	4,000,000.00	
BBY LTD GENERAL TRUST ACCOUNT	553980002	2014-12-18	INTERNET DEPOSIT	INTERNET DEPOSIT  FROM 0000553619217	AUD	4,000,000.00		

Colour coding:

Covered in report findings - section 6

Covered as part of Saxo upload / termination fund flow diagram (section 6.9)

Account Name	Account No	Date	Category	Description	Currency	Value	Transaction value (AUD)	Report reference
BBY LTD GENERAL TRUST ACCOUNT	553980002	2015-01-09	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553619217	AUD	-2,050,000.00	2,050,000.00	
BBY TRUST ACCOUNT 3	553619217	2015-01-09	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553980002	AUD	2,050,000.00		
BBY LTD GENERAL TRUST ACCOUNT	553980002	2014-12-11	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553452541	AUD	-2,038,329.40	2,038,329.40	
BBY Ltd Client Trust Account	553452541	2014-12-11	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553980002	AUD	2,038,329.40		
BBY LTD GENERAL TRUST ACCOUNT	553980002	2014-12-12	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553452541	AUD	-1,737,879.09	1,737,879.09	
BBY Ltd Client Trust Account	553452541	2014-12-12	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553980002	AUD	1,737,879.09		
BBY LTD GENERAL TRUST ACCOUNT	553980002	2014-12-24	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553619217	AUD	-1,000,000.00	1,000,000.00	
BBY TRUST ACCOUNT 3	553619217	2014-12-24	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553980002	AUD	1,000,000.00		
BBY TRUST ACCOUNT 3	553619217	2014-12-24	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553619356	AUD	-1,000,000.00	1,000,000.00	
BBY Ltd Saxo Buffer	553619356	2014-12-24	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553619217	AUD	1,000,000.00		
BBY Ltd Futures Client Seg	553619284	2015-01-12	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553619217	AUD	-800,000.00	800,000.00	
BBY TRUST ACCOUNT 3	553619217	2015-01-12	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553619284	AUD	800,000.00		
BBY LTD GENERAL TRUST ACCOUNT	553980002	2014-12-18	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553619217	AUD	-600,000.00	600,000.00	
BBY TRUST ACCOUNT 3	553619217	2014-12-18	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553980002	AUD	600,000.00		
BBY TRUST ACCOUNT 3	553619217	2014-12-18	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553619268	AUD	-600,000.00	600,000.00	
BBY Ltd FX Trust	553619268	2014-12-18	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553619217	AUD	600,000.00		
BBY LTD GENERAL TRUST ACCOUNT	553980002	2015-01-12	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553619217	AUD	-505,952.75	505,952.75	
BBY TRUST ACCOUNT 3	553619217	2015-01-12	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553980002	AUD	505,952.75		
BBY LTD GENERAL TRUST ACCOUNT	553980002	2014-12-18	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553619217	AUD	-504,800.00	504,800.00	
BBY TRUST ACCOUNT 3	553619217	2014-12-18	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553980002	AUD	504,800.00		
BBY TRUST ACCOUNT 3	553619217	2015-01-08	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553980002	AUD	-500,000.00	500,000.00	
BBY LTD GENERAL TRUST ACCOUNT	553980002	2015-01-08	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553619217	AUD	500,000.00		
BBY TRUST ACCOUNT 3	553619217	2014-12-24	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553619268	AUD	-495,000.00	495,000.00	
BBY Ltd FX Trust	553619268	2014-12-24	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553619217	AUD	495,000.00		
BBY LTD GENERAL TRUST ACCOUNT	553980002	2014-12-24	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553619217	AUD	-445,000.00	445,000.00	
BBY TRUST ACCOUNT 3	553619217	2014-12-24	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553980002	AUD	445,000.00		
BBY Ltd Futures Client Seg	553619284	2015-01-12	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553619217	AUD	-300,000.00	300,000.00	
BBY TRUST ACCOUNT 3	553619217	2015-01-12	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553619284	AUD	300,000.00		
BBY TRUST ACCOUNT 3	553619217	2015-01-12	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553619284	AUD	-300,000.00	300,000.00	
BBY Ltd Futures Client Seg	553619284	2015-01-12	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553619217	AUD	300,000.00		
BBY TRUST ACCOUNT 3	553619217	2014-12-12	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553980002	AUD	-300,000.00	300,000.00	
BBY LTD GENERAL TRUST ACCOUNT	553980002	2014-12-12	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553619217	AUD	300,000.00		
BBY LTD GENERAL TRUST ACCOUNT	553980002	2014-12-17	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553452541	AUD	-276,053.60	276,053.60	
BBY Ltd Client Trust Account	553452541	2014-12-17	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553980002	AUD	276,053.60		
BBY TRUST ACCOUNT 3	553619217	2015-01-21	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553619268	AUD	-250,000.00	250,000.00	
BBY Ltd FX Trust	553619268	2015-01-21	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553619217	AUD	250,000.00		
BBY TRUST ACCOUNT 3	553619217	2014-12-16	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553980002	AUD	-200,000.00	200,000.00	
BBY LTD GENERAL TRUST ACCOUNT	553980002	2014-12-16	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553619217	AUD	200,000.00		
BBY Limited - FX Trust GBP	204226GBP01	2015-02-23	OTHER DEBITS	TO AUD ACCT	GBP	-83,391.69	165,209.74	
BBY Limited - FX Trust USD	204226USD01	2015-02-06	OTHER DEBITS	TO ACCT	USD	-140,688.00	180,205.26	
BBY Ltd Client Trust Account	553452541	2015-04-30	CREDIT(POST ON-LINE DETAILS PER NARRATIVE)	FROM 553836043	AUD	100,000.00	100,000.00	
<b>Total value of the transactions in the population above \$100,000 AUD</b>							<b>671,550,622.17</b>	

Colour coding:

Covered in report findings - section 6

Covered as part of Saxo upload / termination fund flow diagram (section 6.9)

Account Name	Account No	Date	Category	Description	Currency	Value	Transaction value (AUD)	Report reference
<b>LESS THAN \$100,000 AUD</b>								
BBY Ltd Operating Ac	553619401	2014-12-05	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553619217	AUD	-59,900.00	59,900.00	In Report (6.9.5) - Saxo upload / termination
BBY TRUST ACCOUNT 3	553619217	2014-12-05	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553619401	AUD	59,900.00	59,900.00	In Report (6.9.5) - Saxo upload / termination
BBY TRUST ACCOUNT 3	553619217	2014-12-05	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553619356	AUD	-59,900.00	59,900.00	In Report (6.9.5) - Saxo upload / termination
BBY Ltd Saxo Buffer	553619356	2014-12-05	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553619217	AUD	59,900.00	59,900.00	In Report (6.9.5) - Saxo upload / termination
BBY Limited - FX Trust USD	204226USD01	2015-03-12	OTHER DEBITS	TO BBY ACCT	USD	-76,960.00	99,898.18	
BBY LTD GENERAL TRUST ACCOUNT	553980002	2014-12-23	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553619217	AUD	-50,000.00	50,000.00	
BBY TRUST ACCOUNT 3	553619217	2014-12-23	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553980002	AUD	50,000.00	50,000.00	
BBY LTD GENERAL TRUST ACCOUNT	553980002	2014-12-24	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553619217	AUD	-50,000.00	50,000.00	
BBY TRUST ACCOUNT 3	553619217	2014-12-24	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553980002	AUD	50,000.00	50,000.00	
BBY Limited - FX Trust USD	204226USD01	2015-02-06	OTHER DEBITS	TO ACCT	USD	-43,226.22	55,367.85	
BBY Ltd Client Trust Account	553452541	2014-11-13	CREDIT(POST ON-LINE DETAILS PER NARRATIVE)	FROM 553836043	AUD	33,790.09	33,790.09	
BBY Ltd Client Trust Account	553452541	2015-05-06	CREDIT(POST ON-LINE DETAILS PER NARRATIVE)	FROM 553836043	AUD	26,111.60	26,111.60	
BBY Ltd Client Trust Account	553452541	2014-08-04	CREDIT(POST ON-LINE DETAILS PER NARRATIVE)	FROM 553836043	AUD	16,252.46	16,252.46	
BBY LTD GENERAL TRUST ACCOUNT	553980002	2015-01-06	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL TO 0000553619217	AUD	-15,000.00	15,000.00	
BBY TRUST ACCOUNT 3	553619217	2015-01-06	INTERNET DEPOSIT	INTERNET DEPOSIT FROM 0000553980002	AUD	15,000.00	15,000.00	
BBY Ltd Operating Ac	553619401	2014-12-11	DEBIT(POST ON-LINE DETAILS PER NARRATIVE)	TO TD 554096112	AUD	-2,561.44	2,561.44	
BBY Ltd (renamed from Smartrader Ltd)	176820184	2014-02-28		From A/C 17-682-0205	AUD	-20.00	20.00	
<b>Total value of the transactions in the population below \$100,000 AUD</b>							<b>468,801.62</b>	

**Value of transactions covered in report**

Total value of transactions	672,019,423.80
Total value of transactions covered in report	653,321,992.33
Percentage of total value of transactions covered in report	97%

**Number of transactions covered in report**

Total number of transactions	57
Total number of transactions covered in report	24
Percentage of total number of transactions covered in report	42%

Source: KPMG