

BBYL: CSA Investigations

Population: List of 'funds transferred by verbal direction from senior management' (Exhibit 53)

Source: Provided by a former BBY employee

Colour coding:

Covered in report findings - section 6

Date	Account Pool	Account name	Account number	Transaction category	Transaction description	Currency	Value (Transaction currency)	Conversation rate at transaction date	Value of transaction or rec difference (AUD)	Report reference	
ABOVE OR EQUAL TO \$100,000 AUD											
4	31/03/2015	FUTURES	BBY Ltd Futures Client Seg	553619284	OTHER DEBITS	INTERNET RTGS	AUD	-350,000.00	1.00	350,000.00	In Report (6.6.3)
	31/03/2015	HOUSE	BBY Ltd Ope Ac	169290891	INTER-BANK CREDIT	INTER-BANK CREDIT RTGS (STG-003488) BBY LTD SYDNEY NSW 2000	AUD	350,000.00			
5	31/03/2015	SAXO	Saxo Buffer	553619356	OTHER DEBITS	INTERNET RTGS	AUD	-1,600,000.00	1.00	1,600,000.00	In Report (6.6.3)
	31/03/2015	HOUSE	BBY NAB Operating Account	169290891	INTER-BANK CREDIT	INTER-BANK CREDIT RTGS (STG-002568) BBY LTD SYDNEY NSW 2000	AUD	1,600,000.00			
10	21/01/2015	SAXO	BBY Ltd Saxo Buffer	553619356	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL SAXO TO FX TRF	AUD	-250,000.00	1.00	250,000.00	In Report (6.5.3)
	21/01/2015	FX	BBY Ltd FX Trust	553619268	INTERNET DEPOSIT	INTERNET DEPOSIT SAXO TO FX TRF	AUD	250,000.00			
11	12/01/2015	SAXO	BBY Limited - WLP Omnibus	553619137	OTHER DEBITS	INTERNET RTGS	AUD	-500,000.00	1.00	500,000.00	In Report (6.5.3)
	12/01/2015	CLIENT					AUD	500,000.00			
12	16/01/2015	HOUSE	BBY Ltd General Account	553452576	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL REQUEST BBYCORP/AM/GR	AUD	-250,000.00	1.00	500,000.00	In Report (6.6.3)
	16/01/2015	EQUITY/ETO	BBY Ltd Client Trust Account	553452541	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL REQUEST BBYCORP/AM/GR	AUD	-250,000.00			
	16/01/2015	FX	BBY Ltd FX Trust	553619268	INTERNET DEPOSIT	INTERNET DEPOSIT REQUEST BBYCORP/AM/GR	AUD	250,000.00			
	16/01/2015	FX	BBY Ltd FX Trust	553619268	INTERNET DEPOSIT	INTERNET DEPOSIT REQUEST BBYCORP/AM/GR	AUD	250,000.00			
	16/01/2015	FX	BBY Ltd FX Trust	553619268	OTHER DEBITS	INTERNET RTGS	AUD	-500,000.00			
	16/01/2015	CLIENT					AUD	500,000.00			
13	24/12/2014	FX	BBY Limited - FX Trust USD	204226USD01	OTHER DEBITS	BBO TT WIPID 036236371	USD	-600,000.00	1.23	740,018.77	In Report (6.5.3)
	24/12/2014	CLIENT					USD	600,000.00			
14	24/12/2014	FUTURES	BBY Limited - Future SEG USD	204226USD02	OTHER DEBITS	INTERNET TRANSFER	USD	-383,000.00	1.23	286,140.59	In Report (6.5.3)
	24/12/2014	FX	BBY Limited - FX Trust USD	204226USD01	OTHER CREDITS	INTERNET TRANSFER	USD	383,000.00			
	24/12/2014	FX	BBY Limited - FX Trust USD	204226USD01	OTHER DEBITS	INTERNET TRANSFER	USD	-151,000.00			
	24/12/2014	FUTURES	BBY Limited - Future SEG USD	204226USD02	OTHER CREDITS	INTERNET TRANSFER	USD	151,000.00			
16	8/12/2014	SAXO	BBY Ltd Saxo Buffer	553619356	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL EB/WLP TO COVER PAYMENT	AUD	-209,120.00	1.00	209,120.00	In Report (6.4.3)
	8/12/2014	WLP	BBY Limited - WLP Omnibus	553619137	INTERNET DEPOSIT	INTERNET DEPOSIT EB/WLP TO COVER PAYMENT	AUD	209,120.00			
17	8/12/2014	SAXO	BBY Ltd Saxo Buffer	553619356	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL EB/FX TO COVER PAYMENTS	AUD	-850,000.00	1.00	850,000.00	In Report (6.5.3)
	8/12/2014	FX	BBY Ltd FX Trust	553619268	INTERNET DEPOSIT	INTERNET DEPOSIT EB/FX TO COVER PAYMENTS	AUD	850,000.00			
3	14/04/2015	FUTURES	BBY Ltd Futures Client Seg	553619284	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL FUT/FX-FUNDS FROM ABN	AUD	-600,000.00	1.00	277,567.00	Rec difference example
	14/04/2015	FX	BBY Ltd FX Trust	553619268	INTERNET DEPOSIT	INTERNET DEPOSIT FUT/FX-FUNDS FROM ABN	AUD	600,000.00			
9	17/12/2014	FUTURES	BBY Limited - Future SEG USD	204226USD02	OTHER DEBITS	BBO TT WIPID 036130222	USD	-85,279.72	1.22	104,324.91	
	17/12/2014	CLIENT					USD	85,279.72			
18	4/07/2014	FX	BBY Limited - FX Trust USD	204226USD01	OTHER DEBITS	BBO TT WIPID 034057466	USD	-981,000.00	1.07	1,048,227.06	
	4/07/2014	CLIENT					USD	981,000.00			
Total value of the transactions in the population above \$100,000 AUD										6,715,398.32	

Colour coding:

Covered in report findings - section 6

Date	Account Pool	Account name	Account number	Transaction category	Transaction description	Currency	Value (Transaction currency)	Conversation rate at transaction date	Value of transaction or rec difference (AUD)	Report reference
LESS THAN \$100,000 AUD										
1 12/05/2015	FX	BBY Limited - FX Trust NZD	204226NZD01	OTHER DEBITS	INTERNET TRANSFER	NZD	-12,921.50	0.92	11,928.03	
12/05/2015	SAXO	BBY Limited - SAXO Buffer	204226NZD03	OTHER CREDITS	INTERNET TRANSFER	NZD	12,921.50			
2 20/03/2015	FUTURES	BBY Limited - Future SEG HKD	204226HKD02	OTHER DEBITS	INTERNET TRANSFER	HKD	-190,000.00	0.17	1,661.08	<i>Rec difference example</i>
20/03/2015	FX	BBY Limited - FX Trust HKD	204226HKD01	OTHER CREDITS	INTERNET TRANSFER	HKD	190,000.00			
6 26/03/2015	FUTURES	BBY Limited - Future SEG CHF	204226CHF02	OTHER DEBITS	INTERNET TRANSFER	CHF	-10,000.00	1.33	13,280.15	
26/03/2015	FX	BBY Limited - FX Trust CHF	204226CHF01	OTHER CREDITS	INTERNET TRANSFER	CHF	10,000.00			
7 10/02/2015	FUTURES	BBY Limited - Future SEG GBP	204226GBP02	OTHER DEBITS	INTERNET TRANSFER	GBP	-44,000.00	1.96	86,244.72	
10/02/2015	FX	BBY Limited - FX Trust GBP	204226GBP01	OTHER CREDITS	INTERNET TRANSFER	GBP	44,000.00			
8 23/02/2015	FUTURES	BBY Limited - Future SEG GBP	204226GBP02	OTHER DEBITS	INTERNET TRANSFER	GBP	-43,000.00	1.98	85,188.57	
23/02/2015	FX	BBY Limited - FX Trust GBP	204226GBP01	OTHER CREDITS	INTERNET TRANSFER	GBP	43,000.00			
15 9/12/2014	IB	<i>No access to BNZ bank statements to trace</i>				NZD	-20,000.00	0.93	18,526.45	
9/12/2014	eBridge	<i>No access to BNZ bank statements to trace</i>				NZD	20,000.00			
Total value of the transactions in the population below \$100,000 AUD									216,829.00	

Value of transactions covered in report

Total value of transactions	6,932,227.33
Total value of transactions covered in report	5,285,279.36
Percentage of total value of transactions covered in report	76%

Number of transactions covered in report

Total number of transactions	18
Total number of transactions covered in report	9
Percentage of total number of transactions covered in report	50%

Source: KPMG