

BBYL: CSA Investigations

Population: Reconciling items with non-BAU descriptions as at 15 May 2015

Source: Product line reconciliations dated 15 May 2015

Colour coding:

Covered in report findings - section 6

Covered as part of Saxo upload / termination fund flow diagram (section 6.9)

SAXO Reconciliation - NAB Account number 083004 176820205 and SGB Account number 332027 553619137

Date	Description	Transaction value	Conversation rate at transaction date	Absolute value (AUD)	Report reference
ABOVE OR EQUAL TO \$100,000					
12-Jan-15	INTERNET RTGS	500,000.00	1.00	500,000.00	In Report (6.5.3)
08-Dec-14	INTERNET DEPOSIT EB/WLP TO COVER PAYMENT	-209,120.00	1.00	209,120.00	In Report (6.4.3)
05-Dec-14	INTERNET WITHDRAWAL TO 0000553619217	499,600.00	1.00	499,600.00	Saxo upload / termination
19-Dec-14	INTERNET WITHDRAWAL BBY	352,000.00	1.00	352,000.00	
LESS THAN \$100,000					
16-Dec-14	INTERNET WITHDRAWAL BBY	14,400.00	1.00	14,400.00	In Report (6.6.3)

FX Bank Reconciliation - NAB Account number 083004 176820184 and SGB Account number 332027 553619268

Date	Description	Absolute value	Conversation rate at transaction date	Absolute value (AUD)	Report reference
ABOVE OR EQUAL TO \$100,000					
08-Dec-14	INTERNET DEPOSIT EB/FX TO COVER PAYMENTS	-850,000.00	1.00	850,000.00	In Report (6.5.3)
16-Jan-15	INTERNET DEPOSIT REQUEST BBYCORP/AM/GR	-250,000.00	1.00	250,000.00	In Report (6.6.3)
16-Jan-15	INTERNET DEPOSIT REQUEST BBYCORP/AM/GR	-250,000.00	1.00	250,000.00	In Report (6.6.3)
21-Jan-15	INTERNET DEPOSIT SAXO TO FX TRF	-250,000.00	1.00	250,000.00	In Report (6.5.3)
21-Jan-15	INTERNET DEPOSIT FROM 0000553619217	-250,000.00	1.00	250,000.00	In Report (6.5.3)
05-Dec-14	INTERNET WITHDRAWAL TO 0000553619217	1,000,000.00	1.00	1,000,000.00	Saxo upload / termination
18-Dec-14	INTERNET DEPOSIT FROM 0000553619217	-600,000.00	1.00	600,000.00	
24-Dec-14	INTERNET DEPOSIT FROM 0000553619217	-495,000.00	1.00	495,000.00	
LESS THAN \$100,000					
16-Dec-14	INTERNET WITHDRAWAL BBY	26,000.00	1.00	26,000.00	In Report (6.6.3)
06-Jan-15	INTERNET DEPOSIT BBY	-15,000.00	1.00	15,000.00	

Futures Bank Reconciliation - NAB Account number 083004 176798714 and SGB Account number 332027 553619284

Date	Description	Absolute value	Conversation rate at transaction date	Absolute value (AUD)	Report reference
ABOVE OR EQUAL TO \$100,000					
16-Dec-14	INTERNET WITHDRAWAL BBY	757,000.00	1.00	757,000.00	In Report (6.6.3)
31-Mar-15	INTERNET RTGS	350,000.00	1.00	350,000.00	In Report (6.6.3)
26-Feb-15	INTERNET DEPOSIT BBY	-500,000.00	1.00	500,000.00	In Report (6.5.3)
27-Apr-15	BBY BBY	-200,000.00	1.00	200,000.00	
12-Jan-15	INTERNET WITHDRAWAL TO 0000553619217	800,000.00	1.00	800,000.00	

Colour coding:

- Covered in report findings - section 6
- Covered as part of Saxo upload / termination fund flow diagram (section 6.9)

Ebridge Buffer Bank Reconciliation - SGB Account number 204226USD03

Date	Description	Absolute value	Conversation rate at transaction date	Absolute value (AUD)	Report reference
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ABOVE OR EQUAL TO \$100,000

17-Apr-15	INTERNET TRANSFER	500,000.00	1.29	643,154.22	
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Ebridge Buffer Bank Reconciliation - NAB Account number 000000176820256 and SGB Account number 332027 553619356

Date	Description	Absolute value	Conversation rate at transaction date	Absolute value (AUD)	Report reference
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ABOVE OR EQUAL TO \$100,000

08-Dec-14	INTERNET WITHDRAWAL EB/WLP TO COVER PAYMENT	209,120.00	1.00	209,120.00	In Report (6.4.3)
08-Dec-14	INTERNET WITHDRAWAL EB/FX TO COVER PAYMENTS	850,000.00	1.00	850,000.00	In Report (6.5.3)
21-Jan-15	INTERNET WITHDRAWAL SAXO TO FX TRF	250,000.00	1.00	250,000.00	In Report (6.5.3)
23-Feb-15	INTERNET WITHDRAWAL BBY	670,000.00	1.00	670,000.00	In Report (6.5.2)
26-Feb-15	INTERNET WITHDRAWAL BBY	500,000.00	1.00	500,000.00	In Report (6.5.3)
13-Mar-15	INTERNET WITHDRAWAL Trf	750,000.00	1.00	750,000.00	In Report (6.6.3)
16-Mar-15	INTERNET WITHDRAWAL Trf	139,000.00	1.00	139,000.00	In Report (6.6.3)
25-Feb-15	INTERNET WITHDRAWAL BBY	750,000.00	1.00	750,000.00	Saxo upload / termination
23-Mar-15	INTERNET WITHDRAWAL Trf	426,000.00	1.00	426,000.00	Saxo upload / termination
05-Dec-14	INTERNET DEPOSIT HI	-499,600.00	1.00	499,600.00	Saxo upload / termination
05-Dec-14	INTERNET DEPOSIT FROM 0000553919217	-1,000,000.00	1.00	1,000,000.00	Saxo upload / termination
05-Dec-14	INTERNET DEPOSIT HI	-4,229,971.18	1.00	4,229,971.18	Saxo upload / termination
09-Dec-14	INTERNET DEPOSIT FROM 0000553980002	-1,525,100.00	1.00	1,525,100.00	Saxo upload / termination
12-Dec-14	INTERNET DEPOSIT FROM 0000553980002	-5,183,440.00	1.00	5,183,440.00	Saxo upload / termination
24-Dec-14	INTERNET DEPOSIT FROM 0000553919217	-1,000,000.00	1.00	1,000,000.00	
08-Dec-14	INTERNET RTGS	100,000.00	1.00	100,000.00	
26-Feb-15	INTERNET RTGS	300,000.00	1.00	300,000.00	

LESS THAN \$100,000

19-Feb-15	INTERNET RTGS	26,000.00	1.00	26,000.00	Saxo upload / termination
05-Dec-14	INTERNET DEPOSIT FROM 0000553919217	-59,900.00	1.00	59,900.00	Saxo upload / termination
13-Jun-14	TRANSFER CREDITS INTERNET TRANSFER HIGH INT TRF BBY	-20,866.59	1.00	20,866.59	

Carbon Trading Bank Reconciliation - STG Account number 332027 0000553486047

Date	Description	Absolute value	Conversation rate at transaction date	Absolute value (AUD)	Report reference
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LESS THAN \$100,000

16-Dec-14	INTERNET WITHDRAWAL BBY	20,372.00	1.00	20,372.00	In Report (6.6.3)
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Colour coding:

Covered in report findings - section 6

Covered as part of Saxo upload / termination fund flow diagram (section 6.9)

Value of transactions covered in report

Total value of transactions	27,320,643.99
Total value of transactions covered in report	22,794,623.18
Percentage of total value of transactions covered in report	83%

Number of transactions covered in report

Total number of transactions	42
Total number of transactions covered in report	31
Percentage of total number of transactions covered in report	74%

Source: KPMG