

BBY: Revised Summary of Bank Accounts and Pool Categorisations

RED = New information obtained / account pool reallocations subsequent to publication of the CSA Report.

Account Pool	Bank	Account Name	Account No	CURR	Status	Open date (advised by bank)	Close date (advised by bank)	Note	Statements received subsequent to the CSA Report	Date range of transactions obtained via hardcopy/PDF statement	Date range of electronic transaction data obtained from BBO	Full data range of transaction data obtained
HOUSE - NAB	NAB	BBY Limited Operating Ac	169290891	AUD					04/01/2011 - 19/01/2014	04/01/2011 - 19/01/2014	20/01/2014 - 13/07/2015	04/01/2011 - 13/07/2015
HOUSE - NAB	NAB	BBY Holdings Pty Ltd	169107268	AUD					07/06/2011 - 29/01/2014	07/06/2011 - 29/01/2014	30/01/2014 - 10/06/2015	07/06/2011 - 10/06/2015
HOUSE - NAB	NAB	BBY Staff Holdings Pty Ltd	169290998	AUD					27/06/2011 - 06/08/2014	27/06/2011 - 26/09/2013	07/08/2014	27/06/2011 - 07/08/2014
HOUSE - NAB	NAB	Broker Services Australia Pty	169290840	AUD					04/01/2011 - 19/01/2014	04/01/2011 - 19/01/2014	20/01/2014 - 30/06/2015	04/01/2011 - 30/06/2015
HOUSE - NAB	NAB	BBY Advisory Services Pty Limited	169592689	AUD					31/01/2011 - 21/01/2014	31/01/2011 - 21/01/2014	22/01/2014 - 10/06/2015	31/01/2011 - 10/06/2015
HOUSE - NAB	NAB	BBY Limited	BBYLTUSD01	USD		25-Feb-10			15/04/2010 - 24/11/2015	15/04/2010 - 24/11/2015		15/04/2010 - 24/11/2015
HOUSE - NAB	NAB	BBY Limited	169133554	AUD	CLOSED		23-May-11	3	No transactional data	No transactional data		No transactional data
HOUSE - NAB	NAB	BBY Limited	123363442	AUD	CLOSED	23-Nov-11	24-Feb-12		19/12/2011 - 01/02/2012	19/12/2011 - 01/02/2012		19/12/2011 - 01/02/2012
HOUSE - NAB	NAB	BBY Limited	124078731	AUD	CLOSED	23-Nov-11	24-Feb-12		30/12/2011 - 01/02/2012	30/12/2011 - 01/02/2012		30/12/2011 - 01/02/2012
HOUSE - STG	STG	BBY Ltd Operating Ac	553619401	AUD		30-Oct-12			31/10/2012 - 29/05/2014	31/10/2012 - 29/05/2014	30/05/2014 - 30/06/2015	31/10/2012 - 30/06/2015
HOUSE - STG	STG	BBY Ltd OD Excess Account	553774516	AUD		13-Aug-13			01/11/2013 - 29/05/2014	01/11/2013 - 29/05/2014	30/05/2014 - 30/06/2015	01/11/2013 - 30/06/2015
HOUSE - STG	STG	BBY Limited - Operating (USD)	204226USD04	USD		02-Apr-13			05/12/2013	05/12/2013		05/12/2013
HOUSE - STG	STG	BBY Holdings Pty Limited	553618863	AUD	CLOSED	30-Oct-12	26-Jun-14		31/10/2012 - 01/01/2014	31/10/2012 - 26/06/2014		31/10/2012 - 26/06/2014
HOUSE - STG	STG	Broker Services Australia Pty Ltd	553618927	AUD	CLOSED	30-Oct-12	26-Jun-14		31/10/2012 - 01/01/2014	31/10/2012 - 26/06/2014		31/10/2012 - 26/06/2014
HOUSE - STG	STG	BBY Advisory Services P/L	553618898	AUD	CLOSED	30-Oct-12	26-Jun-14		31/10/2012 - 01/01/2014	31/10/2012 - 31/05/2014		31/10/2012 - 31/05/2014
HOUSE (Equity/ETO business)	STG	BBY Ltd - Facilitation Account	553453106	AUD		02-Dec-11		2	08/12/2011 - 01/01/2014	08/12/2011 - 01/01/2014	02/01/2014 - 31/07/2015	08/12/2011 - 31/07/2015
HOUSE (Equity/ETO business)	STG	BBY Ltd General Account	553452576	AUD		01-Dec-11		2	14/12/2011 - 29/05/2014	14/12/2011 - 29/05/2014	30/05/2014 - 08/07/2015	14/12/2011 - 08/07/2015
HOUSE (Equity/ETO business)	STG	BBY Ltd Clearing Account	553581319	AUD		17-Aug-12		2	31/08/2012 - 29/05/2014	31/08/2012 - 29/05/2014	30/05/2014 - 30/06/2015	31/08/2012 - 30/06/2015
HOUSE (Equity/ETO business)	NAB	BBY Limited Equities General Ac	124078643	AUD		23-Nov-11		2	08/12/2011 - 30/01/2014	08/12/2011 - 30/01/2014	31/01/2014 - 30/06/2015	08/12/2011 - 30/06/2015
Other Trust Account	NAB	BBY Limited Trust Ac1	169133546	AUD					04/01/2011 - 12/03/2014	04/01/2011 - 12/03/2014	13/03/2014 - 13/07/2015	04/01/2011 - 13/07/2015
Other Trust Account	NAB	BBY Limited Trust Ac2	166655330	AUD					04/01/2011 - 01/02/2015	04/01/2011 - 01/02/2015	02/02/2015 - 13/07/2015	04/01/2011 - 13/07/2015
Other Trust Account	NAB	BBY Limited Trust Ac3	166655349	AUD					31/01/2011 - 10/02/2014	31/01/2011 - 10/02/2014	11/02/2014 - 30/06/2015	31/01/2011 - 30/06/2015
Other Trust Account	NAB	BBY Limited Trust Ac4	166655357	AUD	CLOSED	25-Feb-10	23-Nov-15		14/11/2011 - 29/01/2014	14/11/2011 - 29/01/2014	30/01/2014 - 18/05/2015	14/11/2011 - 18/05/2015
Other Trust Account	NAB	BBY Ltd Corporate cheque Ac Trust Ac5	198057204	AUD	CLOSED	02-Jun-11			29/07/2011 - 30/01/2014	29/07/2011 - 30/01/2014	31/01/2014 - 16/09/2014	29/07/2011 - 16/09/2014
Other Trust Account	NAB	BBY Limited Trust Account 6 - Corporate Cheque Ac	140865217	AUD	CLOSED		18-Jun-13		31/08/2012 - 18/06/2013	31/08/2012 - 18/06/2013		31/08/2012 - 18/06/2013
Other Trust Account	NAB	BBY Limited Trust Account CCA	144581107	AUD	CLOSED	02-Oct-12	15-Jul-14		23/11/2012 - 30/10/2013	23/11/2012 - 15/07/2014		23/11/2012 - 15/07/2014
Other Trust Account	STG	BBY LTD GENERAL TRUST ACCOUNT	553980002	AUD		18-Jun-14			No transactional data	25/06/2014 - 31/05/2015	25/06/2014 - 30/06/2015	25/06/2014 - 30/06/2015
Other Trust Account	STG	BBY TRUST ACCOUNT 1	553619153	AUD		30-Oct-12			No transactional data	12/06/2014 - 11/12/2014	12/06/2014 - 11/12/2014	12/06/2014 - 11/12/2014
Other Trust Account	STG	BBY TRUST ACCOUNT 3	553619217	AUD		30-Oct-12			No transactional data	12/06/2014 - 27/02/2015	12/06/2014 - 27/02/2015	12/06/2014 - 27/02/2015
Other Trust Account	STG	BBY LTD - TRUST AC2	553619196	AUD		30-Oct-12		3	No transactional data	No transactional data		No transactional data
Other Trust Account	STG	BBY LTD - TRUST AC5	553619233	AUD	CLOSED	30-Oct-12	04-Jun-13		31/05/2013 - 04/06/2013	31/05/2013 - 04/06/2013		31/05/2013 - 04/06/2013
Other Trust Account	STG	BBY LTD 'TRUST 6'	553577408	AUD	CLOSED	09-Aug-12	04-Jun-13		29/08/2012 - 04/06/2013	29/08/2012 - 04/06/2013		29/08/2012 - 04/06/2013
Other Trust Account	STG	BBY TRUST ACCOUNT 4	553619372	AUD	CLOSED	30-Oct-12	26-Jun-14		No transactional data	04/12/2012 - 26/06/2014		04/12/2012 - 26/06/2014
Equity/ETO	NAB	BBY Ltd Equities Trust Ac	124078694	AUD		23-Nov-11			02/12/2011 - 19/01/2014	02/12/2011 - 19/01/2014	20/01/2014 - 20/05/2015	02/12/2011 - 20/05/2015
Equity/ETO	STG	BBY Ltd ETO Trust Account	553486012	AUD		15-Feb-12			17/02/2012 - 29/05/2014	17/02/2012 - 29/05/2014	30/05/2014 - 15/05/2015	17/02/2012 - 15/05/2015
Equity/ETO	STG	BBY Ltd Client Trust Account	553452541	AUD		01-Dec-11			09/12/2011 - 29/05/2014	09/12/2011 - 29/05/2014	30/05/2014 - 03/07/2015	09/12/2011 - 03/07/2015
Equity/ETO	STG	BBL Ltd Equities Trust 2	553469415	AUD		10-Jan-12			24/01/2012 - 29/05/2014	24/01/2012 - 29/05/2014	30/05/2014 - 01/04/2015	24/01/2012 - 01/04/2015
Equity/ETO	BNZ	Equities account	588085023	NZD		31-Mar-05			17/11/2014 - 30/04/2015	17/11/2014 - 30/04/2015		17/11/2014 - 30/04/2015
Equity/ETO	BNZ	ETO Account	588085024	NZD		31-Mar-05		3	No transactional data	No transactional data		No transactional data
Futures	STG	BBY Ltd Futures House	553619305	AUD		30-Oct-12			31/10/2012 - 31/10/2014	31/10/2012 - 29/05/2014	30/05/2014 - 30/04/2015	31/10/2012 - 30/04/2015
Futures	STG	BBY Ltd Futures Client Seg	553619284	AUD		30-Oct-12			31/10/2012 - 31/10/2014	31/10/2012 - 29/05/2014	30/05/2014 - 02/07/2015	31/10/2012 - 02/07/2015
Futures	STG	BBY Limited - Future SEG CAD	204226CAD02	CAD		14-Mar-13			15/04/2013 - 11/01/2015	15/04/2013 - 11/01/2015	12/01/2015 - 05/05/2015	15/04/2013 - 05/05/2015
Futures	STG	BBY Limited - Future SEG CHF	204226CHF02	CHF		14-Mar-13			15/04/2013 - 07/09/2014	15/04/2013 - 07/09/2014	08/09/2014 - 26/03/2015	15/04/2013 - 26/03/2015
Futures	STG	BBY Limited - Future SEG EUR	204226EUR02	EUR		14-Mar-13			15/04/2013 - 04/08/2014	15/04/2013 - 04/08/2014	05/08/2014 - 06/05/2015	15/04/2013 - 06/05/2015
Futures	STG	BBY Limited - Future SEG GBP	204226GBP02	GBP		14-Mar-13			15/04/2013 - 10/06/2014	15/04/2013 - 10/06/2014	11/06/2014 - 07/05/2015	15/04/2013 - 07/05/2015
Futures	STG	BBY Limited - Future SEG HKD	204226HKD02	HKD		14-Mar-13			15/04/2013 - 19/11/2014	15/04/2013 - 19/11/2014	20/11/2014 - 07/05/2015	15/04/2013 - 07/05/2015
Futures	STG	BBY Limited - Future SEG JPY	204226JPY02	JPY		14-Mar-13			15/04/2013 - 19/06/2014	15/04/2013 - 19/06/2014	20/06/2014 - 04/05/2015	15/04/2013 - 04/05/2015
Futures	STG	BBY Limited - Future SEG NZD	204226NZD02	NZD		14-Mar-13			15/04/2013 - 30/05/2014	15/04/2013 - 30/05/2014	31/05/2014 - 30/06/2015	15/04/2013 - 30/06/2015
Futures	STG	BBY Limited - Future SEG USD	204226USD02	USD		14-Mar-13			15/04/2013 - 29/05/2014	15/04/2013 - 29/05/2014	30/05/2014 - 18/05/2015	15/04/2013 - 18/05/2015
Futures	STG	BBY Limited - Future SEG SGD	204226SGD02	SGD		14-Mar-13		3	No transactional data	No transactional data		No transactional data
Futures	BNZ	BBY Limited - Future SEG BNZ NZD	588085025	NZD		05-Oct-00			01/12/2010 - 29/04/2016	01/12/2010 - 29/04/2016		01/12/2010 - 29/04/2016
Futures	NAB	BBY Limited - Corporate Cheque Ac (renamed from Futures House Stonebridge Futures Pty Ltd)	176820168	AUD	CLOSED		02-Jul-14	5	05/01/2011 - 30/12/2013	05/01/2011 - 02/07/2014		05/01/2011 - 02/07/2014
Futures	NAB	BBY Limited Client Segregated Trust Ac (renamed from Stonebridge Futures Pty Ltd)	176798714	AUD	CLOSED		22-Jul-14	6	23/12/2010 - 22/12/2013	23/12/2010 - 16/07/2014		23/12/2010 - 16/07/2014
Futures	NAB	FUT CLIENT SEG	STONFCAD01	CAD	CLOSED	01-Jul-10	27-May-13	7				
Futures	NAB	FUT CLIENT SEG CHF	STONCHF01	CHF	CLOSED	01-Jul-10	27-May-13	7				
Futures	NAB	FUT CLIENT SEG EUR	STONFEUR01	EUR	CLOSED	01-Jul-10	27-May-13	7				
Futures	NAB	FUT CLIENT SEG GBP	STONFGBP01	GBP	CLOSED	01-Jul-10	27-May-13	7				
Futures	NAB	FUT CLIENT SEG HKD	STONFHKD01	HKD	CLOSED	01-Jul-10	27-May-13	7				

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Futures	NAB	FUT CLIENT SEG JPY	STONFJPY01	JPY	CLOSED	01-Jul-10	27-May-13	7				
Futures	NAB	FUT CLIENT SEG NZD	STONFNZD01	NZD	CLOSED	01-Jul-10	27-May-13	7				
Futures	NAB	FUT CLIENT SEG SGD	STONFSGD01	SGD	CLOSED	01-Jul-10	27-May-13	7				
Futures	NAB	FUT CLIENT SEG USD	STONFUSD01	USD	CLOSED	01-Jul-10	27-May-13	7				
FX	STG	BBY Ltd FX Trust	553619268	AUD		30-Oct-12			31/10/2012 - 29/05/2014	31/10/2012 - 29/05/2014	30/05/2014 - 02/07/2015	31/10/2012 - 02/07/2015
FX	STG	BBY Limited - FX Trust FJD	204226FJD01	FJD		15-Oct-13			16/10/2013 - 29/05/2014	16/10/2013 - 29/05/2014	30/05/2014 - 12/05/2015	16/10/2013 - 12/05/2015
FX	STG	BBY Limited - FX Trust THB	204226THB01	THB		20-Mar-13			11/04/2013 - 27/08/2014	11/04/2013 - 27/08/2014	28/08/2014 - 02/04/2015	11/04/2013 - 02/04/2015
FX	STG	BBY Limited - FX Trust CAD	204226CAD01	CAD		07-Mar-13			26/04/2013 - 17/07/2014	26/04/2013 - 17/07/2014	18/07/2014 - 22/05/2015	26/04/2013 - 22/05/2015
FX	STG	BBY Limited - FX Trust CHF	204226CHF01	CHF		07-Mar-13			26/04/2013 - 30/06/2014	26/04/2013 - 30/06/2014	01/07/2014 - 15/05/2015	26/04/2013 - 15/05/2015
FX	STG	BBY Limited - Trust EUR	204226EUR01	EUR		07-Mar-13			15/04/2013 - 01/06/2014	15/04/2013 - 01/06/2014	02/06/2014 - 07/07/2015	15/04/2013 - 07/07/2015
FX	STG	BBY Limited - FX Trust GBP	204226GBP01	GBP		07-Mar-13			15/04/2013 - 29/05/2014	15/04/2013 - 29/05/2014	30/05/2014 - 15/05/2015	15/04/2013 - 15/05/2015
FX	STG	BBY Limited - FX Trust HKD	204226HKD01	HKD		07-Mar-13			15/04/2013 - 29/05/2014	15/04/2013 - 29/05/2014	30/05/2014 - 08/05/2015	15/04/2013 - 08/05/2015
FX	STG	BBY Limited - FX Trust JPY	204226JPY01	JPY		07-Mar-13			15/04/2013 - 01/06/2014	15/04/2013 - 01/06/2014	02/06/2014 - 13/05/2015	15/04/2013 - 13/05/2015
FX	STG	BBY Limited - FX Trust NZD	204226NZD01	NZD		07-Mar-13			15/04/2013 - 30/05/2014	15/04/2013 - 30/05/2014	31/05/2014 - 30/06/2015	15/04/2013 - 30/06/2015
FX	STG	BBY Limited - FX Trust PHP	204226PHP01	PHP		16-Oct-13			16/10/2013 - 02/01/2014	16/10/2013 - 02/01/2014		16/10/2013 - 02/01/2014
FX	STG	BBY Limited - FX Trust SGD	204226SGD01	SGD		07-Mar-13			15/04/2013 - 29/05/2014	15/04/2013 - 29/05/2014	30/05/2014 - 18/05/2015	15/04/2013 - 18/05/2015
FX	STG	BBY Limited - FX Trust USD	204226USD01	USD		07-Mar-13			15/04/2013 - 29/05/2014	15/04/2013 - 29/05/2014	30/05/2014 - 15/05/2015	15/04/2013 - 15/05/2015
FX	STG	BBY Limited - FX Trust Ac ZAR	204226ZAR01	ZAR		07-Mar-13			11/06/2013 - 06/12/2013	11/06/2013 - 06/12/2013		11/06/2013 - 06/12/2013
FX	BNZ	Margin FX	588085026	NZD		09-Nov-00			08/11/2010 - 02/05/2016	08/11/2010 - 02/05/2016		08/11/2010 - 02/05/2016
FX	NAB	Smartrader Ltd (renamed from Stonebridge Securities Ltd FX)	176820184	AUD	CLOSED		02-Jul-14	8	23/12/2010 - 22/06/2012	23/12/2010 - 02/07/2014		23/12/2010 - 02/07/2014
FX	NAB	SSL FX TRUST AC	STOSECHF02	CHF	CLOSED	02-Jul-10	02-Oct-13	7				
FX	NAB	SSL FX TRUST AC	STOSECAD02	CAD	CLOSED	02-Jul-10	27-May-13	7				
FX	NAB	SSL FX TRUST AC	STOSEEUR02	EUR	CLOSED	02-Jul-10	27-May-13	7				
FX	NAB	SSL FX TRUST AC	STOSEGBP02	GBP	CLOSED	01-Jul-10	27-May-13	7				
FX	NAB	SSL FX TRUST AC	STOSEHKD02	HKD	CLOSED	01-Jul-10	27-May-13	7				
FX	NAB	SSL FX TRUST AC	STOSEJPY02	JPY	CLOSED	01-Jul-10	27-May-13	7				
FX	NAB	SSL FX TRUST AC	STOSES02	SGD	CLOSED	01-Jul-10	27-May-13	7				
FX	NAB	SSL FX TRUST AC	STOSENZD02	NZD	CLOSED	01-Jul-10	27-May-13	7				
FX	NAB	SSL FX TRUST AC	STOSEUSD02	USD	CLOSED	02-Jul-10	12-May-14	7				
FX	NAB	SSL FX TRUST AC	STOSEHKD03	HKD	CLOSED	01-Jul-10	27-Oct-10	7				
FX	NAB	SSL FX TRUST AC	STOSEDKK02	DKK	CLOSED	02-Jul-10	28-Oct-10	7				
FX	NAB	SSL FX TRUST AC	STOSENOK02	NOK	CLOSED	02-Jul-10	28-Oct-10	7				
FX	NAB	SSL FX TRUST AC	STOSEZAR02	ZAR	Unconfirmed			7				
SAXO	STG	BBY Ltd Saxo Buffer	553619356	AUD		30-Oct-12			31/10/2012 - 29/05/2014	31/10/2012 - 29/05/2014	30/05/2014 - 02/07/2015	31/10/2012 - 02/07/2015
SAXO	STG	BBY Limited - SAXO Buffer	204226EUR03	EUR		02-Apr-13			15/04/2013 - 07/08/2014	15/04/2013 - 07/08/2014	08/08/2014 - 29/04/2015	15/04/2013 - 29/04/2015
SAXO	STG	BBY Limited - SAXO Buffer	204226GBP03	GBP		02-Apr-13			15/04/2013 - 11/12/2014	15/04/2013 - 11/12/2014	12/12/2014 - 29/04/2015	15/04/2013 - 29/04/2015
SAXO	STG	BBY Limited - SAXO Buffer	204226HKD03	HKD		26-Mar-14			26/03/2014 - 24/11/2014	26/03/2014 - 24/11/2014	25/11/2014 - 01/05/2015	26/03/2014 - 01/05/2015
SAXO	STG	BBY Limited - SAXO Buffer	204226NZD03	NZD		26-Mar-14			02/04/2014 - 30/05/2014	02/04/2014 - 30/05/2014	31/05/2014 - 30/06/2015	02/04/2014 - 30/06/2015
SAXO	STG	BBY Limited - SAXO Buffer	204226USD03	USD		02-Apr-13			15/04/2013 - 02/06/2014	15/04/2013 - 02/06/2014	03/06/2014 - 15/05/2015	15/04/2013 - 15/05/2015
SAXO	BNZ	eBridge Buffer Acc	588085028	NZD		23-Jun-10			08/11/2010 - 29/05/2015			08/11/2010 - 29/05/2015
SAXO	STG	Smartrader Ltd	553475874	AUD	CLOSED	23-Jan-12	04-Jun-13		31/01/2012 - 04/06/2013	31/01/2012 - 04/06/2013		31/01/2012 - 04/06/2013
SAXO	NAB	Smartrader Ltd - High Interest Account	195612428	AUD	CLOSED	18-Apr-11	15-Jul-14			21/04/2011 - 14/07/2014		21/04/2011 - 14/07/2014
SAXO	NAB	eBridge Buffer account (renamed from Stonebridge Securities Ltd)	176820256	AUD	CLOSED		30-Jun-14			23/12/2010 - 18/06/2014		23/12/2010 - 18/06/2014
SAXO	NAB	BBY Saxo Buffer (USD)	STOSEUSD03	USD	CLOSED	29-Jul-10	27-May-13	9	06/12/2010 - 02/12/2011	06/12/2010 - 02/12/2011		06/12/2010 - 02/12/2011
SAXO	NAB	BBY Saxo Buffer (EUR)	STOSEEUR03	EUR	CLOSED	29-Jul-10	27-May-13	9	31/12/2010 - 14/10/2011	31/12/2010 - 14/10/2011		31/12/2010 - 14/10/2011
SAXO	NAB	BBY Saxo Buffer (GBP)	STOSEGBP03	GBP	CLOSED	29-Jul-10	27-May-13	9	31/12/2010 - 14/10/2011	31/12/2010 - 14/10/2011		31/12/2010 - 14/10/2011
WLP Omnibus	STG	BBY Limited - WLP Omnibus	553619137	AUD		30-Oct-12		10	31/10/2012 - 29/05/2014	31/10/2012 - 29/05/2014	30/05/2014 - 30/06/2015	31/10/2012 - 30/06/2015
WLP Omnibus	BNZ	BBY (NZ) Limited- WLP Omnibus BNZ NZD	588085027	NZD		23-Jun-10		11	08/11/2010 - 29/04/2016			08/11/2010 - 29/04/2016
WLP Omnibus	NAB	Smartrader Ltd (renamed from Stonebridge Securities Ltd)	176820205	AUD	CLOSED		02-Jul-14	12	23/12/2010 - 01/01/2014	23/12/2010 - 20/06/2014		23/12/2010 - 20/06/2014
Interactive Brokers	STG	BBY Ltd IB Buffer	553619321	AUD		30-Oct-12			31/10/2012 - 29/05/2014	31/10/2012 - 29/05/2014	30/05/2014 - 30/06/2015	31/10/2012 - 30/06/2015
Interactive Brokers	BNZ	BBY (NZ) Ltd IB Buffer	588085029	NZD		29-Jul-10			09/02/2011 - 29/04/2016			09/02/2011 - 29/04/2016
Interactive Brokers	NAB	Smartrader Ltd (renamed from Stonebridge Securities Ltd)	178726087	AUD	CLOSED		02-Jul-14	13	25/10/2010 - 23/10/2013	25/10/2010 - 02/07/2014		25/10/2010 - 02/07/2014
Carbon Trading	STG	BBY Ltd Carbon Trading Corp A/c	553486047	AUD		15-Feb-12			15/02/2012 - 29/05/2014	29/02/2012 - 29/05/2014	30/05/2014 - 30/06/2015	15/02/2012 - 30/06/2015
Term Deposit	STG	BBY Call Deposit	10062920	AUD				4	15/04/2013 - 01/01/2016	15/04/2013 - 01/01/2016		15/04/2013 - 01/01/2016
Term Deposit	STG	BBY Ltd - Term Deposit	553487824	AUD		17-Feb-12		4		23/02/2012 - 17/02/2015		23/02/2012 - 17/02/2015
Term Deposit	STG	BBY Ltd - Term Deposit	554096112	AUD		10-Dec-14		4		11/12/2014 - 11/05/2015		11/12/2014 - 11/05/2015
Term Deposit	NAB	BBY Ltd - Term Deposit Ac 2	118463975	AUD	CLOSED		10-Jul-15	4		26/08/2011 - 10/07/2015		26/08/2011 - 10/07/2015
Term Deposit	NAB	BBY Ltd - Term Deposit Ac 1	114358932	AUD	CLOSED		10-Jul-15	4		01/07/2011 - 02/07/2015		01/07/2011 - 02/07/2015
Term Deposit	STG	BBY Ltd - Term Deposit 1 (1 month)	553979853	AUD	CLOSED	25-Jun-14	04-Aug-14	4		26/06/2014 - 04/08/2014		26/06/2014 - 04/08/2014
Term Deposit	STG	BBY Ltd - Term Deposit 2 (2 months)	553979888	AUD	CLOSED	25-Jun-14	25-Aug-14	4		26/06/2014 - 25/08/2014		26/06/2014 - 25/08/2014
Term Deposit	STG	BBY Ltd - Term Deposit 3 (3 months)	553979909	AUD	CLOSED	25-Jun-14	26-Sep-14	4		26/06/2014 - 26/09/2014		26/06/2014 - 26/09/2014
Bank Guarantee	STG	BBY Ltd	554098193	AUD		11-Dec-14						No statements available
Bank Guarantee	STG	BBY Ltd	553597716	AUD	CLOSED	20-Sep-12	20-Feb-15					No statements available
Bank Guarantee	STG	BBY Ltd	553487912	AUD	CLOSED	17-Feb-12	27-Aug-12					No statements available

Account Pool	Bank	Account Name	Account No	CURR	Status	Open date (advised by bank)	Close date (advised by bank)	Note	Statements received subsequent to the CSA Report	Date range of transactions obtained via hardcopy/PDF statement	Date range of electronic transaction data obtained from BBO	Full data range of transaction data obtained
RELATED PARTY	NAB	Glenn A Rosewall Private Portfolio Sub-account	689685045	AUD	CLOSED		14-Sep-15			11/12/2010 - 14/09/2015		11/12/2010 - 14/09/2015
RELATED PARTY	STG	GARF Family Trust CMA	553574223	AUD					11/12/2010 - 29/05/2014	03/08/2012 - 30/06/2015		11/12/2010 - 30/06/2015
RELATED PARTY	STG	Glenn A Rosewall Personal CMA	553574258	AUD					03/08/2012 - 29/05/2014	03/08/2012 - 30/06/2015		03/08/2012 - 30/06/2015
RELATED PARTY	STG	GARF Private Superfund CMA	553574274	AUD					03/08/2012 - 29/05/2014	03/08/2012 - 06/07/2015		03/08/2012 - 06/07/2015

- Note 1** We obtained all bank statements from 1 January 2011 (or open date) to 17 May 2015 (or close date) for all bank accounts unless otherwise stated. All date ranges shown in table above reflect the date ranges of the transactions on the account, rather than the date range of the statements obtained. This is because there are many statement pages with no transactions on the account.
- Note 2** These House accounts were used by the Equity/ETO business.
- Note 3** We were advised by the respective banks that no transaction data exists in relation to these accounts.
- Note 4** We obtained term deposit confirmation / maturity letters and/or statements in relation to these Term Deposits from the respective banks.
- Note 5** Reallocated from House to Futures in accordance with Exhibit 9.5.
- Note 6** Reallocated from Other Trust to Futures in accordance with Exhibit 9.5.
- Note 7** No bank statements were obtained for these historical accounts.
- Note 8** Reallocated from House to FX in accordance with Exhibit 9.5.
- Note 9** Bank statements were not obtained for the full data range on these historical accounts. We obtained statements from 2011 for these accounts in order to confirm the Saxo cash balances as at May 2011.
- Note 10** Reallocated from Saxo to WLP Omnibus in accordance with Exhibit 9.5.
- Note 11** Reallocated from Saxo to WLP Omnibus, due to the creation of new account pool 'WLP Omnibus'.
- Note 12** Reallocated from House to WLP Omnibus in accordance with Exhibit 9.5.
- Note 13** Reallocated from House to Interactive Brokers in accordance with Exhibit 9.5.