



KPMG Risk Management and Audit Planning

**Empowering Internal Audit Functions to
Provide Assurance on Emerging Risks.**

August 2023

Unlock the Power of Proactive Risk Management

KPMG Risk Management and Audit Planning tool (KMAP) is designed to help banks monitor emerging risks and changes in risk conditions, assess potential impacts and decide the course of action.

The tool also assists banks in adopting a dynamic planning approach to Internal Audit to maximize their responsiveness to the ever-changing risk conditions.

Banks seek help of the KPMG Risk Management and Audit Planning tool in identifying, assessing, and prioritizing risks.

Timely identification and monitoring of risks using technology enhances the value of risk management.



Predict the Future

KMAP tool provides real-time tracking and assessment of risks allowing banks to monitor risks across business units and branches, predict the future, and make informed decisions.



Leveraging Advanced Analytics

KMAP tool enables stakeholders to make data-driven decisions that directly affect their strategy by providing a deeper level of knowledge from key business processes' data.



Dynamic Internal Audit Planning

With KMAP tool, risk scores are calculated dynamically considering various factors and variables. This provides a comprehensive view of the bank's risk exposure and enables dynamic Internal Audit planning, i.e. focusing on what matters "now".



Tailored to Your Needs

KMAP tool is highly customizable and scalable, catering to the specific needs and requirements of the bank. It can be tailored to align with existing risk frameworks, accommodate changing regulatory requirements, and support future growth and expansion.

360°

Monitors Credit Risk,
Operational Risk and
Compliance Risk

200+

A library of Key Risk Indicators
(KRIs) covering the bank's key
risk categories.

30+

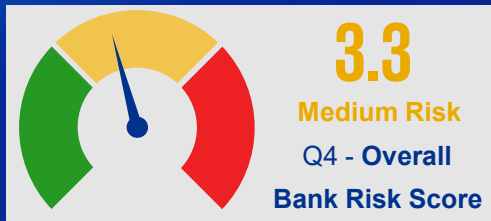
KRIs cover more than 30 key
business process across the
bank's lines of business.

QARO

You need to spend nothing
to keep it running.

KMAP Tool Dashboard

Where data meets intelligence. Analyze emerging risks, drill down into details, and optimize your strategies on the fly. Navigate the future of risk management with precision.

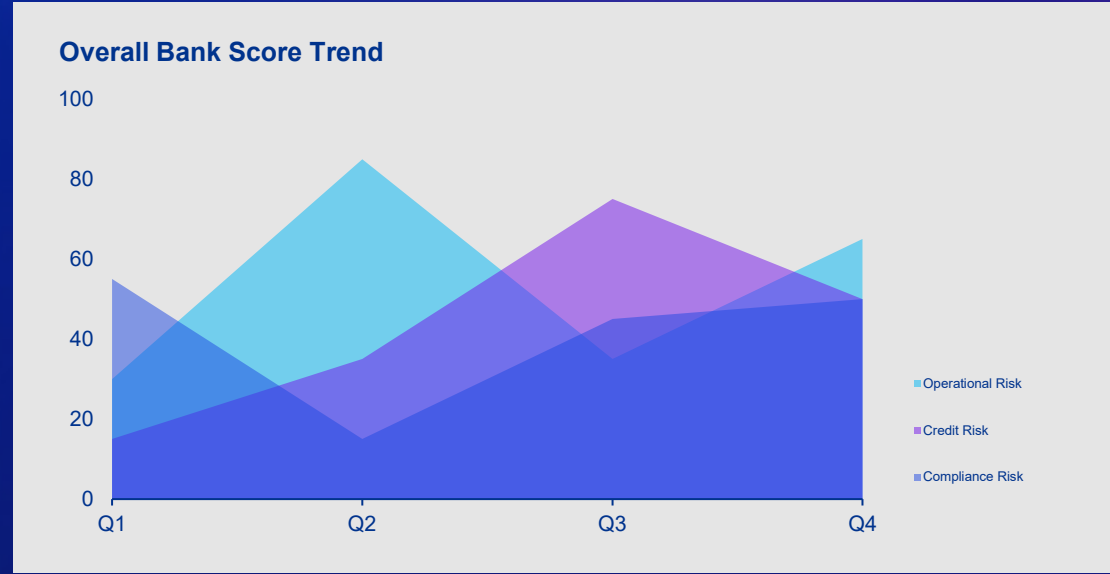


TOP 3 Branch

Branch	Q4 Score
Branch X	4.4
Branch Y	4.2
Branch Z	3.9

KRIs with TOP Outliers

- KRI_11
- KRI_20
- KRI_43



Department A Risk Score

Low High

Credit Risk

Low High

Operational and Compliance Risk

Low High

Audit Plan Score

- Process 1
- Process 2
- Process 3



How the Tool Works



Select and define KRIs

Select and tailor KRIs to the bank's **risk profile**, ensuring relevance and alignment with **industry standards**.

1. Select

Transform, aggregate, and weight KRIs

Transform and aggregate KRIs at process and subprocess levels and define **Peak and bottom limits**.

2. Aggregate

Effective Planning

3. Calculate



Report and monitor

Generate reports and **monitor APS** regularly to align audit plans with **risk priorities**.

4. Report

Calculate BRS and APS

Apply suitable weighting to calculate process scores, sub-process scores, and ultimately, the **Business Unit Risk Score (BRS)** and the **Audit Plan Score (APS)**.



Transform Your Risk Management with KMAP

The KMAP tool empowers banks to stay ahead of risk conditions and make informed decisions. With real-time monitoring, dynamic planning, and data-driven insights, your organization can elevate its risk management strategy.

Get Started Today!

To learn more about the KMAP tool and explore how it can benefit your organization, contact us today for a consultation.

Thank you



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