

Programme design and delivery



- Design of your SMCR implementation programme and management into BAU
- Programme implementation and delivery; supplementing your existing team or running the full programme
- Traceability exercises from rules to practical solutions

Templates, tools, methodologies, training



KPMG in the UK has developed a tool kit of the relevant templates, policies, procedures and training material required as part of the regime, as well as guidance documents. This includes:

- SoR / role profiles
- MRM templates and guidance
- SMF and Conduct Rule training
- Certification Population identification and maintenance
- Fitness and Propriety guidance
- Breach management process

Review and assurance



- Assessment of the design of the implementation
- Review of draft documents
- Assurance and operational effectiveness reviews of programme and BAU plans