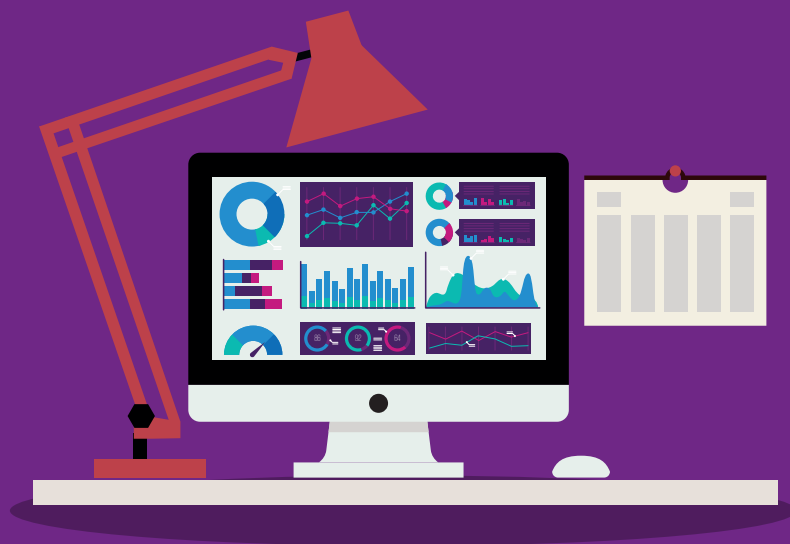




Client Assets Risk Tracking Software



CASS@kpmg.co.uk

KPMG's Client Assets Risk Tracking Software provides firms with a platform to monitor, update and control their CASS risks, all in one place.

Ongoing compliance, no more spreadsheets or time-consuming processes.

A new approach

Many firms currently track their CASS risks and controls using spreadsheets. The process to maintain these spreadsheets is often time consuming, manual and prone to error. Our tool provides a single, user-friendly platform to securely maintain your CASS risk and control data - giving you more time to actively monitor and mitigate your CASS risks.

Potential benefits



Secure and sustainable solution



Complete audit trail and sign off of data

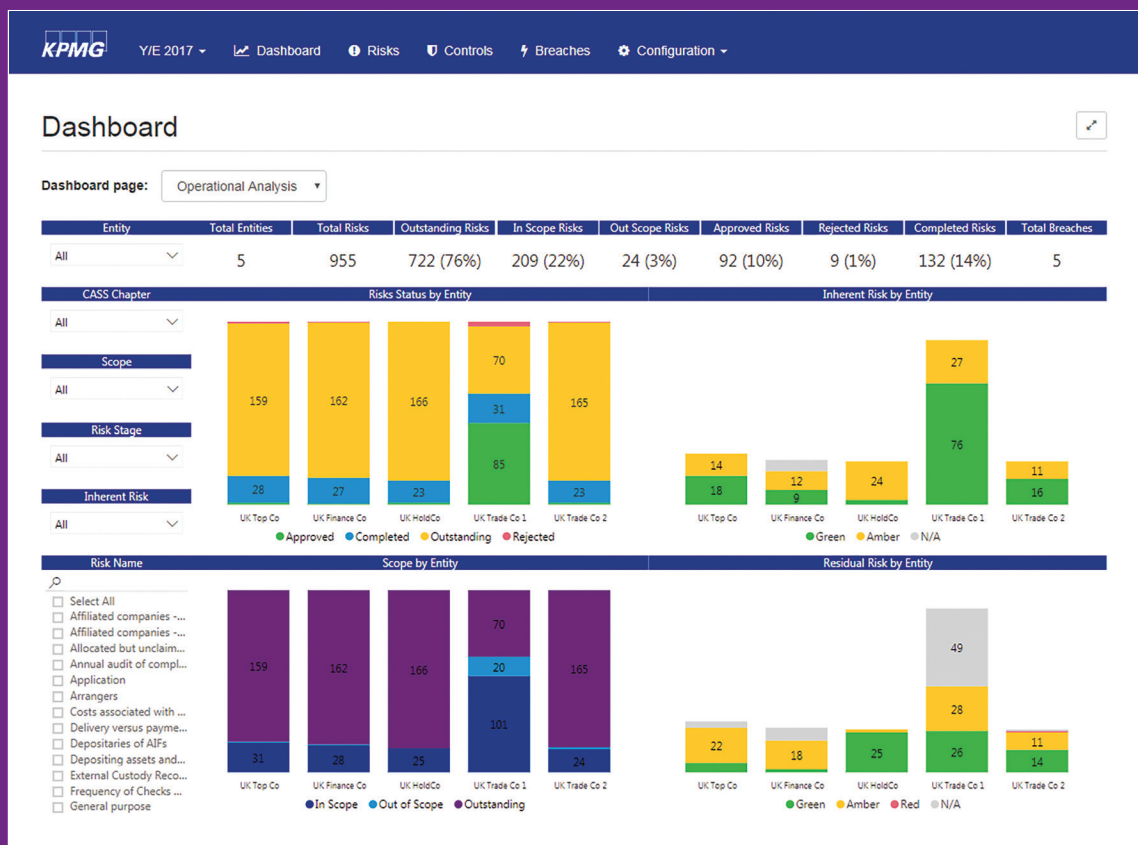


Continual monitoring of the regulation



Extensive, customisable reporting for MI

Dashboard screenshot



What's included?

Controlled access

We use Microsoft Azure infrastructure to help ensure data protection, including user logins authenticated by Active Directory. Users only get access to relevant parts of the system.

Customisable

A highly configurable platform which can replicate your current CASS structure. Choose your own bespoke risk levels, corporate structure and automation levels, for example.

Powerful Management Information

Powerful MI built using Microsoft Powered BI means dashboards configurable on a client by client basis. See the data the way you want to.

Auditable

All actions are logged, helping to ensure individuals and Control Owners are accountable for ongoing sign off.

Risk tracking

Set up of your CASS risks in the tool, tracking relevant details. This can include a reference to the core CASS rule, for example.

Control tracking

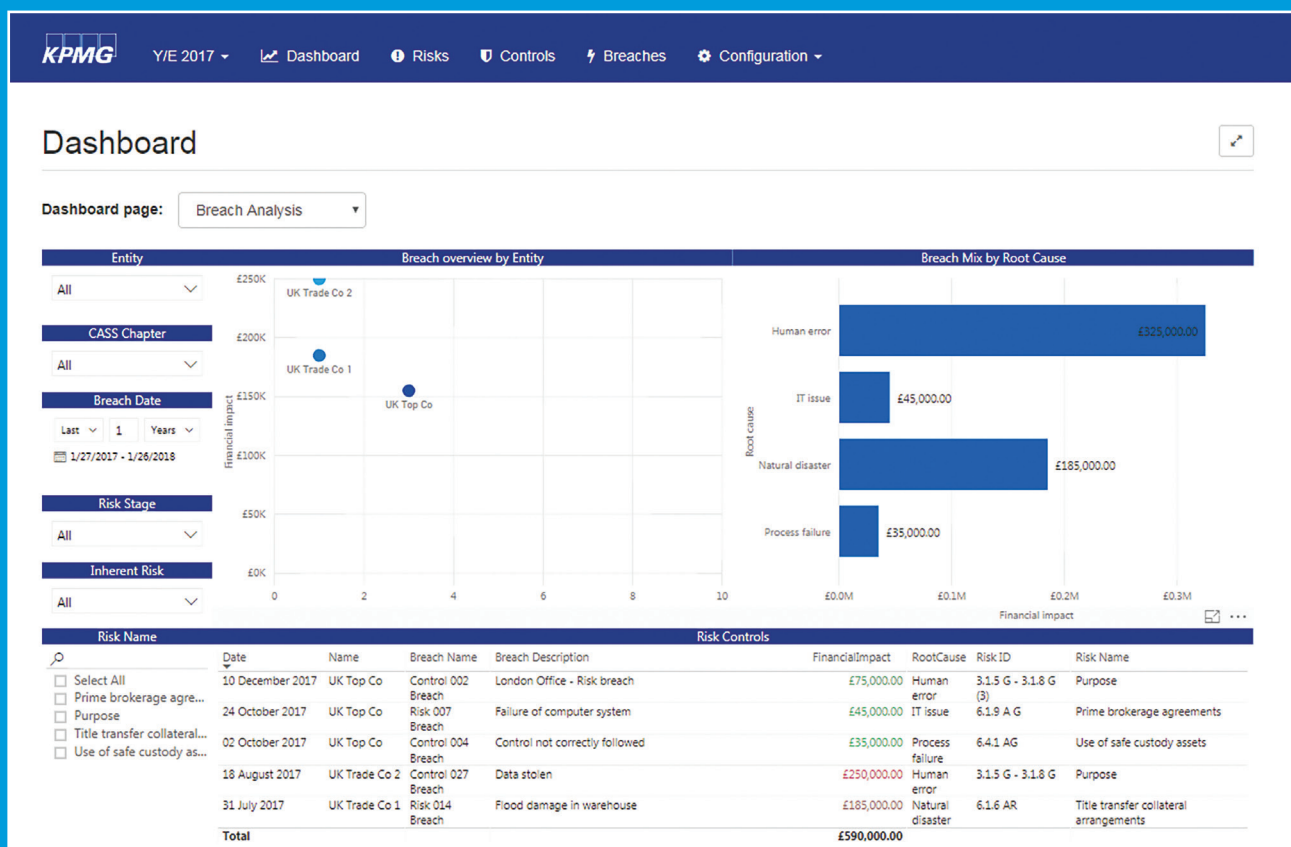
Set up controls within the tool, including process documents, type of control and risk ratings. They can then be mapped to the appropriate risks, evidencing the control framework in place.

Mapping process

Many-to-one mappings between Risks and Controls means they're easy to maintain and update, whilst helping to ensure they are monitored on an ongoing basis.

Breaches log

Enter all breaches and continually monitor them. Classify breaches by control area helping to ensure a continued focus on key areas for improvement.



Contact us

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