Better together

KPMG GRC Advisory Services for MetricStream implementations
KPMG - A leader in GRC services

KPMG is a global network of professional firms providing Governance, Risk, and Compliance (GRC) Advisory services. Our high-performing people cut through complexity and deliver informed perspectives and clear methodologies that drive realizable value for our clients.

MetricStream - A leader in GRC software

MetricStream is a market leader in enterprise-wide GRC and quality solutions for global corporations. MetricStream’s enterprise solutions are used by leading corporations in diverse industries.

KPMG and MetricStream have both been consistently rated as high performers in the marketplace by leading analysts.
Better together – Excellence for our clients

GRC frameworks, strategies, and technology are on the forefront for many C-level executives for large and small companies. An integrated approach to GRC backed by powerful technology is required to manage risks, maintain compliance, monitor internal controls effectively, and provide real-time insight to management on issue status and remediation.

The KPMG and MetricStream alliance relationship can help clients in their transformational GRC journey via our time-tested methodology.

The alliance between KPMG and MetricStream provides a single, thorough approach to enhancing risk management programs, quality processes, regulatory and industry-mandated compliance programs, and corporate governance initiatives enabled through technology. Clients engage with a core team of specialized GRC professionals who are well versed in working on joint engagements across multiple industries. KPMG and MetricStream can approach the project as a single unit—which means you could work with one multidisciplinary GRC team with a wealth of risk and compliance business practices and GRC systems experience executing a single project plan.

“We believe KPMG is positioned to deliver exceptional value and a satisfying client experience by combining our GRC implementation experience with MetricStream’s value-adding technology.”

Lisa Rawls, Managing Director – KPMG GRC Services
The KPMG GRC lifecycle

GRC transformation components

Vision and strategy

Technology enablement

Vendor selection

Convergence and foundational elements

Program management

People and change
KPMG’s GRC services span across the various functional areas. Whether our clients are looking to implement point solutions or an enterprise-wide GRC program, we work with MetricStream to tailor an approach that will enable an effective and efficient GRC implementation.

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KPMG's success in the MetricStream GRC marketplace

We know GRC

Multiple MetricStream GRC awards

Core team of MetricStream certified professionals

Tailored approach based on tried and tested GRC framework

An inventory of accelerators for timely and successful implementation

We know the industry landscape

GRC and MetricStream experience

The tried and tested KPMG MetricStream experience

Industry experience

Powerful, experienced industry advisory council

Tried and tested track record across three lines of defense

Flexible business integration and technology experience
KPMG’s approach for MetricStream implementations

KPMG and MetricStream can help clients to enhance their GRC investment by providing experience, leading practices, and technology enablement companies from design to implementation of a GRC program.

– **Strategy.** KPMG can work with your company to develop a GRC vision and road map to effectively align, streamline, and automate a GRC program across multiple groups. This includes recognizing opportunities to automate mature functions first, advising on how to gain buy-in to a GRC technology investment, and assisting to optimize return on investment.

– **Technology and processes.** Professionals at KPMG can assess your existing business process and identify areas and opportunities in current technology and processes. With a gap analysis, KPMG and MetricStream can design a future state to address risks, enhance compliance programs, and drive efficiencies. Our goal is to align systems and operations to a desired GRC model improving the compliance and risk program.

– **People and change management.** Communication, training, change management, and the appropriate governance structure are of critical importance to a GRC initiative, especially if your company’s GRC initiative spans multiple functions or departments. KPMG’s approach includes consideration of the people and change aspects to help gain user buy-in, acceptance, and visibility into new GRC processes and technology and train users on the new process enabled via MetricStream technology.

“KPMG’s holistic GRC implementation and transformation capabilities along with MetricStream’s market-leading enterprise GRC platform help our clients execute and make their GRC goals a reality”

Salman Ali, Director – Global KPMG MetricStream Champion
KPMG’s GRC core competencies

**MetricStream apps**
- Enterprise Risk Management
- Operational Risk Management
- Internal Audit Management
- SOX Compliance Management
- Policy and Document Management
- Regulatory Change Management
- Incident Management
- Case Management
- Business Continuity Management
- Information Security Management
- IT Risk Management
- IT Compliance Management
- Threat and Vulnerability Management
- Vendor Risk Management
- Third-Party Management
- Supplier Quality Audit Management
- Conflict Minerals Management
- NCM & CAPA Management
- Operational Audit Management
- Inspections Management
- Issues Management
- Loss Management
- Survey Management

**GRC functional areas**
- Compliance Management
- Internal Audit Management
- Policy Management
- Information Security Management
- Enterprise Risk Management
- Operational Risk Management
- Survey Management
- Issues Management
- Loss Management
- Case Management
- Third Party Risk Management
- Regulatory Change Management

**MetricStream inventory of apps**

**Key industries**
- Financial services
- Insurance
- Healthcare
- Technology
- Banking and capital markets
- Energy and natural resources
- Retail

**Key industries supported**
- Financial services
- Insurance
- Healthcare
- Technology
- Banking and capital markets
- Energy and natural resources
- Retail
KPMG’s MetricStream implementation qualifications

**Strong GRC team**
We have more MetricStream certified professionals than any of our competitors.

**Effective transition success**
We have effectively and smoothly assisted clients transition from other GRC vendor service providers with little disruption to our clients.

**Well established relationships**
We enjoy strong relationships with all levels of MetricStream personnel from executives to delivery leads helping to ensure a cohesive experience for our clients.

**Tried and tested implementation track record**
We have assisted more MetricStream implementations than any of our competitors.

**Key accelerators**
We have developed tools that have been validated by MetricStream to accelerate readiness and implementation activities for core applications.
KPMG client success stories – A few of many examples

**Enterprise-wide GRC program enabled by MetricStream**

KPMG assisted a large financial services/insurance client to implement an enterprise-wide GRC program including assisting with foundational elements, designing standardized issue management and risk management processes to be enabled by MetricStream technology.

**MetricStream business requirements**

A leading financial services client required automation of risk and compliance functions. KPMG assisted the management team by identifying requirements, shortlisting vendors, and creating a deployment road map. Thereafter, worked with MetricStream to implement the SOX compliance and risk management solutions.

**Organizational change management and training**

KPMG assisted a large financial services client in designing and deploying a comprehensive organizational change management program for their MetricStream implementation. In addition, KPMG performed a detailed skills analysis and developed a training program that included in-class learning and computer based training for MetricStream modules increasing user adoption and success of the GRC program.

**User acceptance training and technology enablement**

KPMG assisted a large global client in establishing a detailed User Acceptance strategy, test case scenarios and test scripts utilizing pre-made accelerators for MetricStream implementations. The testing process was conducted globally and subsequently ensured a successful implementation.

“KPMG brings a team of seasoned GRC professionals who have deep MetricStream and industry expertise and are key and can hit the ground running. We look forward to teaming with you to make your GRC program a success!”

Deon Minnaar – KPMG’s Global GRC Lead Partner
MetricStream accelerators – A few examples

**MetricStream’s Data Model and GRC Libraries**
Pre-defined core set of components utilized during process convergence and reporting requirements workshops with key client stakeholders. These tools provide a baseline for organizations across major industries for MetricStream library setup and linkage of organizational structure, processes, risks, controls and other major foundational elements.

**MetricStream configuration workbooks**
Premade workbooks based on industry and functional areas to assist with accelerated MetricStream configuration workbook completion.

**Functional and system testing**
Testing strategy and scripts for different MetricStream applications. Graphical workbooks that depict tests executed against the test plan to help identify for the program leadership whether test activities are falling behind.

KPMG has developed these MetricStream validated accelerators that not only accelerate our client’s GRC implementation, but also can make the GRC project more efficient and effective.
Thought leadership

The global industry landscape is facing unprecedented change. New GRC technologies are allowing companies to manage the change and associated risks in a proactive manner. To thrive through this transformation, companies must align on how these changes will impact them and develop clear GRC strategies to evolve and win.

KPMG-MetricStream approach paper: Teaming for executing successful GRC journeys that drive business performance
Together, MetricStream and KPMG has been on several successful GRC Journeys and can help you plan and execute a clear road map to success, leveraging GRC strategy and technology.

Optimizing governance, risk and compliance programs: vision & strategy
Business disruptions, the rapid pace of change, and an increasingly stringent regulatory environment has rekindled the debate on alignment and integration of Governance, Risk and Compliance (GRC). This introductory report explains how to help maximize the value of a GRC investment, focusing on establishing a vision, strategy, and governance structure for the GRC program.

Optimizing governance, risk and compliance programs: The vital role of managing change
Successful GRC initiatives have a deliberate strategy for managing changing processes, reporting, expectations, and anxieties. This piece discusses the strategy that should be executed with the same discipline and enthusiasm as the more technical aspects of the implementation, the third in the series.
Is the KPMG and MetricStream approach right for you?

Global enterprises can realize real and measurable benefits from a unified approach that combines KPMG and MetricStream’s experience and technology. Contact us if your company is looking to:

- Expand or better automate part or all of your GRC functional areas (i.e., operational risk, internal control testing/Sarbanes-Oxley, enterprise risk management, internal audit, conflict minerals, supplier risk governance, policy management, information security, business continuity/disaster recovery, compliance, etc.)
- Enforce a common language and methodology to assess risk and controls across your organization
- Enable real-time integrated reporting on issues, risks, and controls across your organization
- Manage and converge multiple risk, compliance, and assurance functions across the organization
- Purchase or replace existing technology to support any or multiple GRC functions
- Manage costs associated with risk management, compliance, and related monitoring activities.