



30<sup>+</sup> YEARS  
IN VIETNAM  
& CAMBODIA

# Dealing with Financial Crimes



# A journey to combat financial crimes

- How can your organisation stay on top of increasing regulatory scrutiny?
- As behaviors continue to change, how can your organisation tune your controls to reduce the number of false positive alerts your stretched teams review?
- As the threat and incentive for both insider and outsider fraud increases, how can your organisation protect your business from fraud losses?
- How can your organisation achieve convergence and is it reasonable?
- Where does the regulator stand on increased use of Artificial Intelligence?
- How do your organisation optimize your financial crime framework?

## KPMG Forensic/Financial crime professionals are differentiated by...

- **Insight from** conducting high-profile investigations for clients under intense scrutiny in the wake of integrity breakdowns
- **Experience and multidisciplinary** backgrounds in working with leading financial institutions to establish ethics and compliance programs and related antifraud controls
- **Understanding** of relevant control frameworks, industry practices, government enforcement policies, and practices that other financial institutions have generally found to be effective
- **Dedicated** methodologies, benchmark data, tools and technology to facilitate efficient and consistent global service delivery.

Financial crimes can manifest themselves in a number of ways, and can undermine your organization’s reputation, operations and customer experience. The requirements of regulators are increasing in depth and breadth, and with that, the time, rigor and cost required to run an effective and efficient compliance program is increasing as well.

Our experienced team of financial crime professionals provide a full-suite of services drawing on our global experience across industries and with regulators and law enforcement agencies. We can help your organization interpret and operationalize regulations, implement technology solutions and manage regulatory expectations to reduce costs, improve customer experience and safeguard against threats.

Anti-money laundering



Customer due diligence



Sanctions screening



Anti-Fraud



Third party risk management



Transaction monitoring



Risk and regulation



Anti-bribery and corruption



# How we can help

## Anti-money laundering (AML)



Whether it's reviewing your existing AML program, transforming it for the future, or dealing with regulatory inquiries and scrutiny, our team can help you.

## Know your customer (KYC)



When you need to optimise your KYC processes and technology, face large scale remediation, or want to outsource the process to a third party, we have a track record of effective delivery at scale.

## Sanctions screening



When considering whether your screening systems are fit for purpose, facing challenges with alert volumes, or being investigated for sanctions breaches, our team have the knowledge and experience to guide you through the process.

## Transaction monitoring (TM)



If considering whether your TM controls are appropriate for your business, your scenarios and thresholds are set properly, or when you're exploring advanced technology solutions, we bring the right combination of subject and technical knowledge to help you achieve your objectives.

## Risk and regulation



When you need to understand the new regulatory environment, conduct risk assessments and control testing, require remediation for problems of the past or need to transform the culture and capability of your business.

## Anti-fraud



Whether you need to manage your organisation's fraud risks, optimise your fraud operations, find effective ways of reducing costs or address new regulatory requirements impacting fraud, we can help.

## Third party risk management



Whether you're concerned about operational risks from third-party exposure or ensuring your network of third parties don't attract legal or reputational risks to your brand, we help reduce exposure to financial, legal, regulatory, operational, reputational and governmental risk.

## Anti-bribery and anti-corruption (ABAC)



When shaping your approach to ABAC risk management to deliver effective outcomes, testing to ensure your compliance framework is robust and fit for purpose, or when you require support to investigate a potential issue, we bring deep expertise and pragmatic solutions throughout.

# Contact us

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