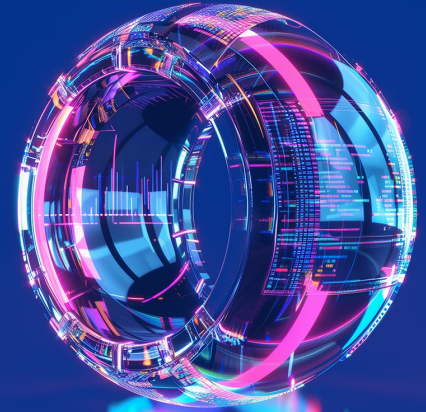




KPMG Risk Hub – Regulatory Compliance Management

Enhancing efficiency, consistency, and confidence with regulatory compliance management



KPMG Risk Hub - Regulatory Compliance Management, built on AI-powered IBM® OpenPages® and leveraging IBM® Cognos® Analytics helps you to address the challenges of managing ever-increasing regulations and enabling your organization to be compliant amidst growing complexity and speed of regulatory change.

Common challenges with managing regulation and compliance

Against a backdrop of sustained market volatility, this embedded and operationalized system is designed to enable your organization to understand its regulatory requirements and manage risks such as sanctions and fines, while proactively responding to regulatory change events.

Do we have a clear picture of all our compliance obligations?

How do we integrate these obligations into our processes/risks/controls?

How do we know when there are gaps or breaches?

How do we manage the volume of regulatory change?

How do we show an audit trail of the way a change has been addressed for the regulators?

How do we provide ongoing challenge and oversight over our compliance framework?

How do we show the regulators that we are within our compliance appetite?

How KPMG Risk Hub – Regulatory Compliance Management can help



KPMG Risk Hub – Regulatory Compliance Management leverages KPMG professionals' deep understanding of leading compliance practices to create a consistent way of managing internal and external compliance requirements. Rather than a separate siloed offering, the Regulatory Compliance Management module is integrated into KPMG Risk Hub enabling:



Horizon scanning

Keep on top of changes relevant to your business without the noise of irrelevant changes.



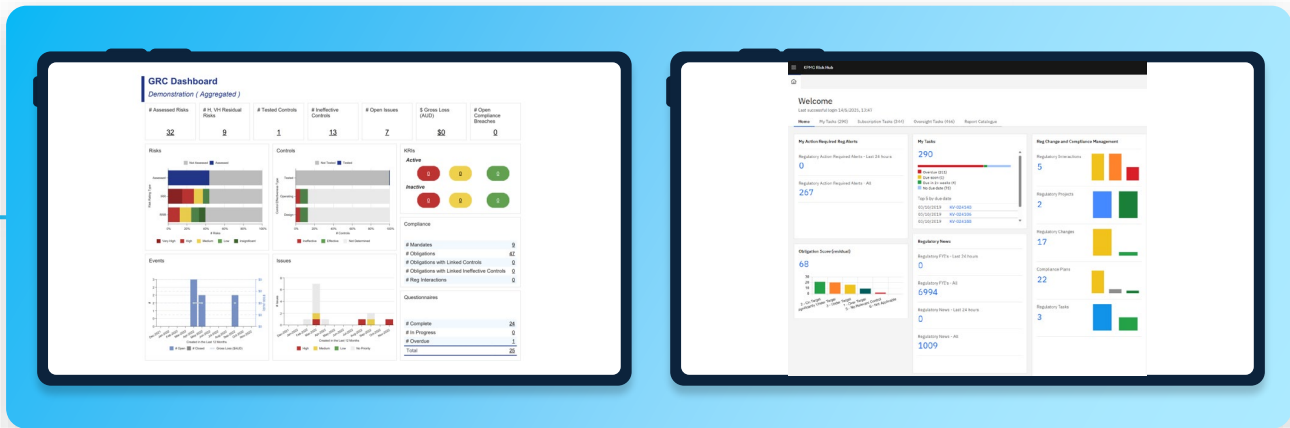
Impact analysis

Workflows to assess the impact of regulatory changes on your organization.



BAU obligation management

Integrated objects and workflows help to ensure obligations are part of the overall risk framework elements (risks, controls, events and issues etc.) by providing a holistic view of how your organization is managing risk obligations. Flexible workflows enable automated or manual sign-offs on obligations, as well as tasks required to evidence compliance.



How you can benefit



Stay informed of relevant regulatory changes — for relevant oversight and accountable parties within the organization, while tuning out unnecessary noise.



Establish audit trails of how compliance is being managed — with the ability to show how compliance is being managed through strong governance, audit trails and dynamic real-time reporting for executives and the board.



Enable a compliance focused governance culture — in the front line to help develop an organization-wide culture of compliance responsibility and accountability.



Improve regulatory compliance management — from an integrated, complete view of obligations and their impacts across the organization.



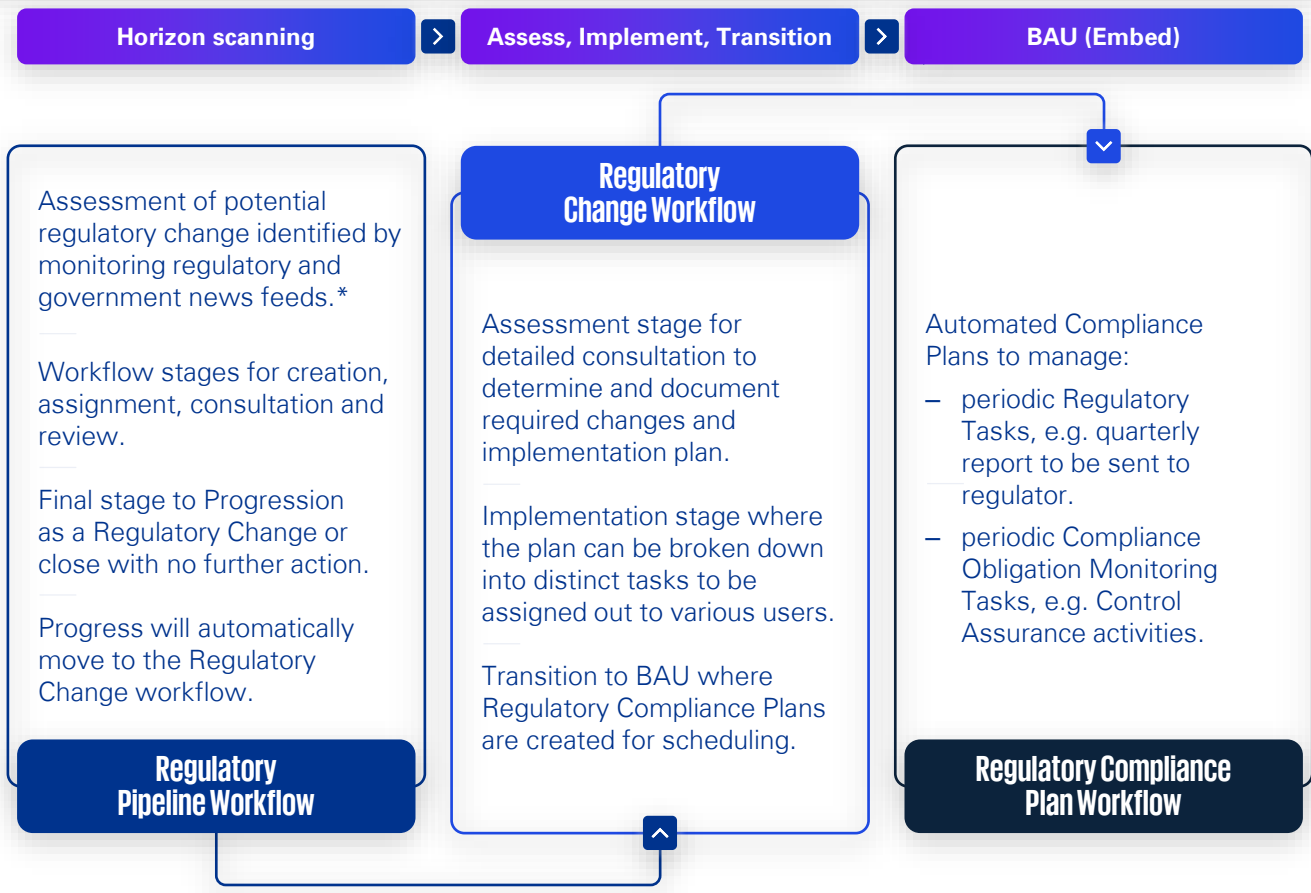
Manage regulatory requirements cost-effectively — access to proven GRC capabilities with no hidden costs.

Functionality

Functionality is designed to enable efficient management of compliance obligations as well as timely horizon scanning.

- Implementation, administration, and support provided by qualified KPMG compliance, risk and technology specialists
- Quality data, analytics, and reporting located on one technology solution provide a single source of truth for your business
- A straightforward and intuitive digital tool that is designed to address the complexity of risk and compliance management
- Cloud-enabled technology allows users to participate anywhere in the world, using any internet-enabled device
- Ongoing evolution with an AI roadmap

Regulatory Compliance Management workflow

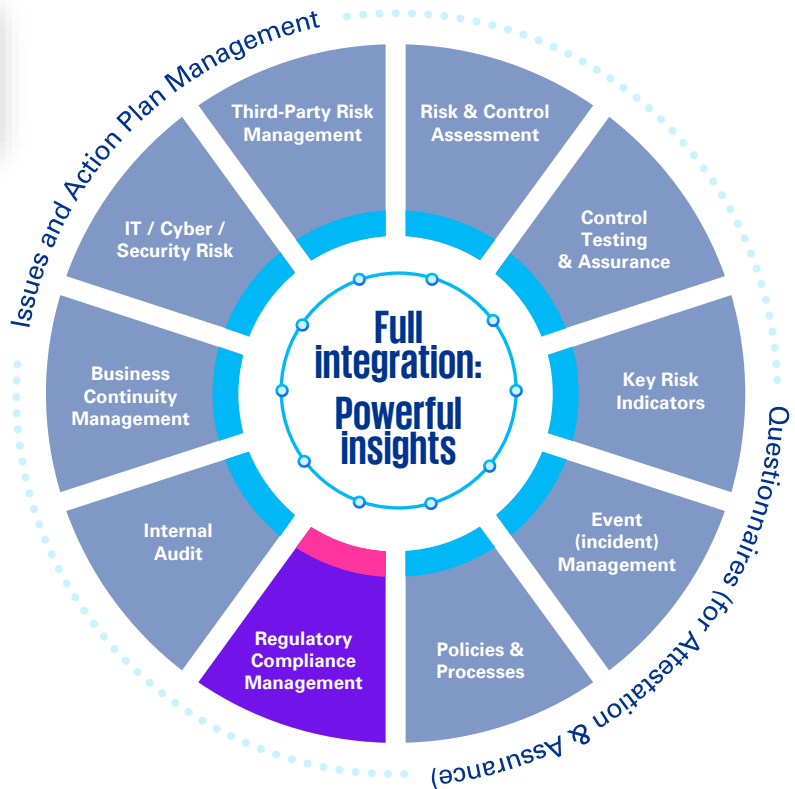


*KPMG Risk Hub – Regulatory Compliance Management is integrated with Regulatory Horizon, the KPMG platform for regulatory information providing automated, real-time news alerts.



Integrated modules

KPMG Risk Hub – Regulatory Compliance Management offers a comprehensive suite of functionalities, enabling you to implement any single or multiple modules and providing the ability to integrate additional modules in future as your requirements evolve.



A KPMG standardized report catalogue, underpinned by IBM® Cognos® Analytics, provides the insights for your risk landscape.

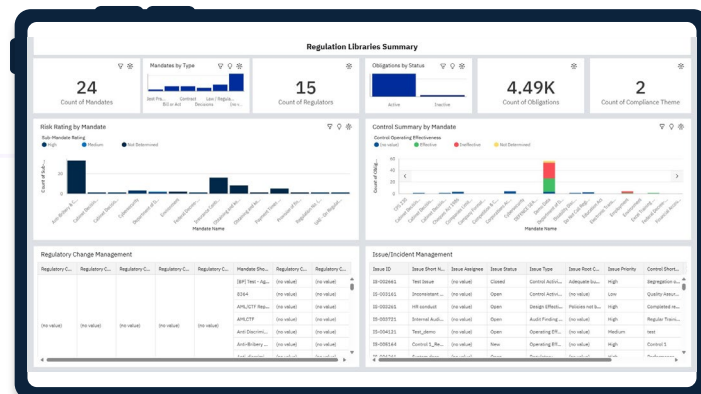
KPMG Risk Hub – Regulatory Compliance Management utilizes IBM® Cognos® Analytics to create a catalogue of better practice reports that can be used from day one. Kick-start your integrated risk reporting for management and board requirements, designed with inherent flexibility that can enable you to create bespoke interactive reports for exploration, oversight, challenge and/or reporting purposes.

The per user, per-user, per-month license fee (with optional enterprise licensing) includes full access to IBM® Cognos® Analytics; each report can be extracted to pdf (whilst maintaining their interactive capabilities via hyperlinks) to be shared across your organization and to independent directors outside of your organization.

Compliance Dashboard



Regulation Libraries Summary Dashboard



Regulatory Compliance Management is one of many modules within the integrated KPMG Risk Hub Governance, Risk, and Compliance technology solution. Other modules include Risk Management, Internal Audit, ESG, Business Continuity Management, and Third-Party Risk Management.

Some or all of the services described herein may not be permissible for KPMG audit clients and their affiliates or related entities.

Contact us for more information:
riskhub.info@kpmg.com

kpmg.com/riskhub



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